



Bylsbridge Office Park  
Building 14| Block D | 11 Bylsbridge Boulevard  
Cnr Jean Ave and Olievenhoutbosch Road |  
Centurion | 0157  
PO Box 11011 | Zwartkop | 0051

T +27 12 748 9600  
F +27 12 748 9791  
E [helpline@sedfa.org.za](mailto:helpline@sedfa.org.za)  
[www.sedfa.org.za](http://www.sedfa.org.za)

# Finance and Procurement Department

## Supply Chain Management

### Request for Bids (RFB)

**APPOINTMENT OF A SHORT – TERM INSURANCE BROKER FOR THE PROVISION OF A CORPORATE SHORT-TERM INSURANCE COVER FOR THE SEDFA NATIONAL, PROVINCIAL & BRANCH OFFICES FOR A PERIOD OF THREE (3) YEARS.**

#### Bid Information

<b>Bid Number</b>	RFB 15-2026/2027
<b>Bid Submission Date</b>	23 July 2026 @ 12:00pm
<b>Bid Description</b>	APPOINTMENT OF A SHORT – TERM INSURANCE BROKER FOR THE PROVISION OF A CORPORATE SHORT-TERM INSURANCE COVER FOR THE SEDFA NATIONAL, PROVINCIAL & BRANCH OFFICES FOR A PERIOD OF THREE (3) YEARS.
<b>Bid Validity Period from Date of Publication</b>	120 days
<b>Bid Contact Person</b>	Mr Patrick Makgata <a href="mailto:pmakgata@sedfa.org.za">pmakgata@sedfa.org.za</a>
<b>Evaluation Method: Points System</b>	80/20
<b>Deadline for Responding to Clarifications for this bid</b>	14 July 2026
<b>Fraud Hotline</b> to report any wrongful or criminal deception or coercion intended to result in financial or personal gain by any <b>SEDFA</b> employee or person involved in this bidding process	0800 000 663 (For <b>anonymous</b> reporting)
<b>For complaints</b>	<a href="mailto:procurement_complaints@SEDFA.org.za">procurement_complaints@SEDFA.org.za</a>

Small Enterprise Development and Finance Agency (SOC) LTD • Registration Number 2024/614733/30 • NCR Number 20821

**Board Members:** Ms N Makanda (Chairperson) • Mr KT Bonakele • Mr W Carrim • Ms X Daku • Ms NP Lubisi • Mr PL Makape  
Ms K Mogorosi • Ms C Motale • Mr S Mpakama • Ms DM Ntsika • Mr L Ntuane • Mr A Xabadiya

Mr N Mbatha (ACEO) • Ms C Williams (ACFO) • Ms B Ndlovu (Acting Company Secretary)



## Special Conditions and Requirement of Contract

### APPOINTMENT OF A SHORT – TERM INSURANCE BROKER FOR THE PROVISION OF A CORPORATE SHORT-TERM INSURANCE COVER FOR THE SEDFA NATIONAL, PROVINCIAL & BRANCH OFFICES FOR A PERIOD OF THREE (3) YEARS.

#### 1. INTRODUCTION AND BACKGROUND

- 1.1. As of 01 October 2024, **sefa**, Seda, and the Cooperative Banks Development Agency (CBDA) have officially merged to form **Sedfa**. The incorporation of **Sedfa** stems from the signing of the National Small Enterprise Amendment Act 2024 (No. 21 of 2024) by President Cyril Ramaphosa on 23 July 2024, and its subsequent gazetting on 30 September 2024. **Sedfa** is a development finance institution, listed as a Schedule 3B National Government Business Enterprise, with the State as the sole shareholder and the Department of Small Business Development as the Executive Authority. **Sedfa** complies with both the Public Finance Management Act 1 of 1999 and the Companies Act 71 of 2008.
- 1.2. As a development finance institution, **Sedfa** provides both financial and non-financial support to Micro-Small Medium Enterprises (MSMEs) with the objectives to
  - 1.2.1. Design and implement development support programs for small enterprises,
  - 1.2.2. Promote service delivery network that enhances the contribution of small enterprises to the South African economy,
  - 1.2.3. Foster economic growth, job creation, and equity in historically disadvantaged communities,
  - 1.2.4. Support, promote, and develop cooperative banks and cooperative financial institutions, and
  - 1.2.5. Strengthen the capacity of service providers to support and enable small enterprises to compete successfully both domestically and internationally.

#### 2. BID SUBMISSION REQUIREMENTS

- 2.1. Bids must be submitted in a **sealed envelope and marked** as follows:

##### **ATTENTION: Sedfa SUPPLY CHAIN MANAGEMENT**

Description of the Bid

Bid Number

Name of the Bidder

- 2.2. **GENERAL BID REQUIREMENTS**

- a. Bid documents **must** be initialled on every page.

- b. Number of sealed envelopes/files must compose of one (1) **ORIGINAL** and one (1) electronic PDF **copy** of the original bid proposal document on a memory stick or flash drive.
- c. The bid proposal should be written in English including the certificates.
- d. Submissions of the Bid responses **MUST** be made by depositing the Bid proposal into the Tender Box situated at **Sedfa** Head Office at the physical address below on or before the closing date as stated on page 1 of this Request for Bid document under Bid Information.
- e. The bidder will bear all expenses associated with the preparation and submission of this Bid.

### 2.3. **SEDFA PHYSICAL ADDRESS**

The Fields, Office Block A,  
1066 Burnett Street,  
Hatfield,  
Pretoria

For more information, please visit the **SEDFA** website: [www.sedfa.org.za](http://www.sedfa.org.za)

### 2.4. **BID RESPONSES**

#### 2.4.1. **BID FORMAT**

2.4.2. Bidders shall submit their bid response in accordance with the requirements as outlined in the Bid Response Template provided in Appendix I.

2.4.3. Each section must be clearly marked, and the documents must be bound.

2.4.4. The RFB comprises a number of sections and the bidder's proposal must include all the required information and documentation as outlined in this RFB.

#### 2.4.5. **GENERAL CONDITIONS OF CONTRACT**

2.4.5.1. Completion of all Standard Bidding Documents (SBD by hand, attached in **ANNEXURES A**, and adhering to all other requirements as outlined on each form. The following SBD and other forms must be duly completed and signed, and returned as part of the Bid Proposal:

- a. **SBD 1:** Invitation to Bid.
- b. **SBD 3.3:** Price schedule
- c. **SBD 4:** Declaration of Interest.
- d. **SBD 6.1:** Preference Points Claim Form.
- e. Valid Tax Compliant Status (TCS PIN issued by SARS).
- f. In bids where Consortium, Joint Ventures and Sub-Contractors are involved, it is required that each party must submit separate proof of Tax Clearance Certificate(s) or *PIN* issued by SARS.
- g. Submission of a copy of a **valid B-BBEE certificate** issued through a SANAS Accredited Agency, with the exception of Exempted Micro Enterprises (EMEs) and Qualifying Small Enterprises (QSEs). These enterprises need to submit B-BBEE **sworn affidavits** as per the requirements of the Department of Trade, Industry and Competition (the **dtic**) for qualifying enterprises except those who fall under the Construction Sector Charter Council (CSCC). Other sworn affidavits will not be accepted. The **dtic** and CSCC affidavit templates are available under **ANNEXURE B**.
- h. National Treasury **Central Supplier Database (CSD) registration** (The bidder to attach a proof of registration).

- i. Submission of bidder's **Companies & Intellectual Property Commission** (CIPC) **registration documents**, listing all Directors or Shareholders and certified copies of the Identify Documents (ID) of Directors or Shareholders (not older than three months).
- 2.4.5.2. The successful bidder and its staff shall comply with all the laws of the Republic of South Africa and as it relates to this bid.
- 2.4.5.3. The bidder's staff must be South African citizens and **SEDFA** reserves the right to validate citizenship.
- 2.4.6. **PRICE PROPOSAL**
  - a. Bidders are required to complete and sign pricing proposals.
  - b. **NB:** Failure to complete and submit a pricing proposal, will lead to disqualification of the bid.
- 2.5. **LATE BIDS**

Bids submitted at the stated bid address, after the closing date & time, shall not be considered under any circumstances.
- 2.6. **COUNTER CONDITIONS**

Bidder's attention is drawn to the fact that amendments to any of the bid conditions or setting of counter conditions by the bidder shall render the bid invalid.
- 2.7. **FICA AND COMPLIANCE CHECKS:**
  - 2.7.1 SEDFA, in its capacity as an accountable institution, has a duty to verify the identity of all its clients in compliance with the Financial Intelligence Centre Act No 38 of 2001 (FICA). SEDFA shall thus conduct a comprehensive PEP screening on the successful bidder and therefore requests all bidders to submit the Know Your Customer (KYC) documents example(s):
    - Certified identity documents
    - Certificate of Incorporation (CM1 or CoR 15.1/CoR 14.1)
    - Certified copy of Change of Name, if applicable (CM9 or CoR 9.1 or 2)
    - Current list of Directors (CM29 or CoR 39) (also used for PEPs/Sanctioned screening purposes)
- 2.8. **BID DISTRIBUTION**
  - 2.8.1. The distribution of this RFB outside the Republic of South Africa may be restricted or prohibited by the laws of other countries. Recipients of this RFB are advised to familiarize themselves with and comply with all such restrictions or prohibitions applicable in those jurisdictions, and neither **SEDFA**, nor any of their respective directors, officers, employees, agents, representatives, or advisors, accepts liability to any person or company for any damages arising out of or in connection with the breach of any restriction or provision outside the Republic of South Africa. Persons contemplating submitting a Bid are advised to obtain legal advice as to the possible consequences thereof in terms of the law of the jurisdictions in which they are located.
  - 2.8.2. Recipients of this RFB document may only distribute it to other parties whom they wish to involve as part of their bidder consortium in submitting a bid.

## 2.9. PRESENTATIONS

**Sedfa** reserves the right to require that any bidder provides a formal presentation of its bid proposal, at a date and time to be determined by **Sedfa**. All instructions and clarification regarding the purpose and scope of the presentation/demonstration shall be provided by **Sedfa**. The bidder shall bear all expenses associated with the preparation of such presentations/demonstrations.

## 2.10. EVALUATION PROCESS

This bid will be evaluated in four (4) stages as follows:

Stage 1 Administrative Compliance Requirements (Initial Screening Process)

Stage 2 - Mandatory Requirements

Stage 3.- Functionality Criteria

Stage 4 – Price and Preference (Specific Goals).

### 2.10.1. STAGE 1: ADMINISTRATIVE SCM COMPLIANCE

During this stage, bid responses will be reviewed for purposes of assessing compliance with the RFB requirements including the General Conditions of Contract as outlined in this RFB, stated Special Conditions of Contract – **Annexure A**

### 2.10.2. STAGE 2: MANDATORY REQUIREMENTS

All bids will be evaluated by the evaluation panel independently in terms of the set evaluation criteria for mandatory as outlined in **Annexure B**.

### 2.10.3. STAGE 3: FUNCTIONALITY EVALUATION

- a. All bids will be evaluated independently by the evaluation panel members in terms of the defined evaluation criteria for functionality evaluation.
- b. Bids that score less than **70 points out of 100** on functionality shall not be considered further.
- c. Bids will be evaluated on Functional requirements as outlined in **ANNEXURE C**.

### 2.10.4. STAGE 4: EVALUATION OF PROPOSAL ON APPLICABLE POINTS SYSTEM

2.10.4.1. Only bidders that have scored a minimum of **70/100** on Functionality will be evaluated during stage 4 for pricing and specific goals.

2.10.4.2. In terms of Preferential Procurement Regulations pertaining to the Preferential Procurement Policy Framework Act, 2000 (Act 5 of 2000) and the amended regulations, responsive bids will be adjudicated by the State on the applicable point system.

2.10.4.3. The applicable preference point system for this tender is the 80/20 preference point system.

2.10.4.4. In terms of 80/20 points system, points are awarded to bidders on the basis of:

<b>CRITERIA</b>	<b>POINTS</b>
Price	<b>80</b>
Specific Goals	<b>20</b>
<b>TOTAL</b>	

### **3. POST AWARD CONDITIONS**

- 3.1. Services will be rendered as detailed/ stated in the Scope of Work / Terms of Reference.
- 3.2. The successful bidder shall submit a monthly statement of all outstanding payments, credit notes issued, and payments made. Such statements shall also contain the order number, the details of the date of the transaction, the invoice number, remittance number and credit note details.
- 3.3. **Sedfa** shall not be held responsible in any way for any damages, losses, theft of equipment or any valuables of the successful bidder or injury of his/her employees whilst on site or in the execution of their duties.
- 3.4. All procurement related to this service, as outlined in this RFB, shall be conducted by **Sedfa's** Supply Chain Management department only.
- 3.5. Fire Risk management and emergency evacuations upon final works completion; Post award.
- 3.6. The building must be declared gun-free.
- 3.7. Full compliance certification must be supplied upon final works completion.

### **4. STAFF REQUIREMENTS**

- 4.1. The successful bidder must ensure the following:
  - a. That the staff working under this contract are in good health.
  - b. That they are adequately trained prior to commencement of the contract.
  - c. That replacement staff is available should the need arise. The bidder is obligated to inform **Sedfa** of any removal and replacement and the replacement of staff can only be done with the formal approval of **Sedfa**.
  - d. Staff must be dressed appropriately and where required;
  - e. The bidder's staff must be South African citizens and **Sedfa** reserves the right to validate citizenship.

### **5. RESOURCE REQUIREMENTS**

The successful bidder must provide the necessary work tools to the bidder's employees working on the project.

### **6. SERVICE LEVEL AGREEMENT**

- 6.1. The successful bidder will be required to enter into a Service level Agreement with **Sedfa**.

- 6.2. A performance measurement processes will form an integral part of the Service Level Agreement, to be signed after the successful bidder has been appointed.

## **7. SUPPLIER DUE DILIGENCE**

- 7.1. **Sedfa** reserves the right to conduct bidder due diligence to short listed bidders prior to final award or at any time during the contract period. This may include site visits if applicable.
- 7.2. **Sedfa** reserves the right to request the successful bidder and its staff to undergo a security vetting and/or credit vetting processes via external services providers such as Credit Bureaus and the South African Police Services. By submitting a bid proposal, the bidder gives explicit approval for **Sedfa** to conduct such vetting requirements, if and when required.

## **8. BID CANCELLATION**

In the case of the cancellation of this RFB, **Sedfa** shall endeavour to inform all bidders, through the same medium used for the communication of the RFB.

## **9. MATERIAL CHANGES**

- 9.1. Any material changes in the control and/or composition of any bidder or any core member of a bidder after submission of a Bid, shall require the prior written approval of **Sedfa**, and any failure to seek such approval from **Sedfa** shall result in **Sedfa** being entitled, in its sole discretion, to exclude the relevant bidder from any further participation in the bid process or to cancel the engagement. This shall be interpreted to include post appointment and subcontracting of work arising out of this bid to complete certain work.
- 9.2. **Sedfa** shall be the sole arbiter as to what constitutes a “material change in the control and/or composition of any bidder”, and as to what constitutes a “core member of a bidder” for purposes of such approval. Any request for such approval shall be made to **Sedfa’s** Supply Chain Management in writing and shall provide sufficient reasons and information to allow **Sedfa** to make such a decision. **Sedfa** reserves the right to accept or reject any such request for approval.

## **10. FRAUD ALERT**

- 10.1. **SEDFA** takes a zero-tolerance approach to fraud, corruption and bribery.
- 10.2. **SEDFA** is committed to acting fairly, with integrity, in all its’ relationships and business dealings both internally and externally (with its suppliers, contractors and other stakeholders).
- 10.3. Please note that under no circumstances will **SEDFA** ever require any payment to secure an award of an RFP or a tender. Individuals that claim that an upfront payment to an individual, third party or a **Sedfa** official, is a blatant attempt at defrauding bidders and such a scam must immediately be reported to the **Sedfa** Anti-Corruption line. **Sedfa** follows a fair, competitive and transparent procurement process in evaluating and awarding bids.

- 10.4. Should you or anyone wish to report any suspected fraud, corruption or bribery, you can BLOW the whistle by calling a free hotline on **0800 000 663**

### **COMMUNICATION**

- 10.1. **Sedfa** may communicate with bidders where clarity is sought after the closing date of the bid and prior to the award of the contract, or to extend the validity period of the bid, if necessary. Such communications will be done via the Supply Chain officials listed as the contact persons for this bid process.
- 10.2. All communication (enquiries/clarifications) relating to this bid shall take place between the bidder and the Supply Chain Management officials listed as the contact persons for this bid process. Such communication shall be done in writing only.
- 10.3. Communication between the closing date and the award of the bid, between the bidder and other **Sedfa** officials or persons acting in an advisory capacity for the State, in respect of this bid, is prohibited.

### **11. CONTACT DETAILS**

- 11.1. Main Contact

**Name :** Patrick Makgata

**Email :** [pmakgata@sedfa.org.za](mailto:pmakgata@sedfa.org.za)

**NB:** Communication outside this platform is **strictly prohibited** and should bidders be found to be in contact with any of **Sedfa's** staff members on matters relating to this bid, such bidders shall automatically be disqualified from this bid process

### **13. SCOPE OF WORK / TERMS OF REFERENCE**

The Scope of Work / Term of Reference is attached as **ANNEXURE E.**

### **14. ANNEXURES**

Annexure A: Stage 1 - Administrative Compliance Requirements

Annexure B: Stage 2 - Mandatory Requirements








Annexure C: Stage 3 - Functionality Criteria

Annexure D: Stage 4 - Price and Preference

Annexure E: Scope of Work / Terms of Reference

Appendix 1: Bid Proposal Document

**Stage 1 - Administrative Compliance Requirements**

Document Name	Template
<b>National Treasury. Government Procurement: General Conditions of Contract, July 2010</b>	 NT General Conditions of Contr
<b>SBD 1</b>	 SCM-Bid documents SBD 1 2022 160416 (I
<b>SBD 6.1</b>	 SBD 6.1 SPECIFIC GOALS 80_20 PREFEF
<b>SBD 4</b>	 Standard Bidding Document (SDB) 4_A
<b>GCC</b>	 GCC
<b>B-BBEE sworn affidavits</b>	  FSC-EME-Affidavit-T emplate.pdf      FSC-QSE-Affidavit-T emplate.pdf

## **STAGE 1 - ADMINISTRATIVE COMPLIANCE**

- a) The Standard Bid Document (SBD, 3.3, 4, 5 & 6.1) forms must be fully completed and signed by the authorized company representative.  
The bidder must submit proof of registration on CSD (Central Supplier Database) in the form of CSD Report.
- b) Submission of valid Tax Compliance Status (TCS) Certificate with a unique security personal, Identification (PIN) issued by the South African Revenue Services certifying that the taxes of the bidder are in the order must be submitted at the closing date and time of the RFQ.
- (d) The bidder must submit a certified valid B-BBEE certificate; in the event of submission of a B-BBEE Sworn Affidavit, the bidder must ensure that the Affidavit is stamped by the Commissioner of Oath and indicate the ownership percentages and or specific goals of the Bidding entity.
- (e) The bidder must submit Companies & Intellectual Property Commission (CIPC) company registration documents listing all Directors or Shareholders and certified ID copies for directors/shareholders/members/partners.
- (f) Copy of Utility Bill, Lease Agreement, Title Deed, letter from Municipality outlining the physical address of the company or official letter with stamp from the local councilor.

### **Note:**

- If the bidder is listed on the National Treasury List of Restricted Suppliers shall result in disqualification of the bid
- If any of its Directors are Listed on the Register of Defaulters, shall result in disqualification of the bid.
- If the status of the bidder is reflected deregistered on CIPC and or CSD shall result in disqualification of the bid.

**Note: All bidders who do not comply with the items listed above may be disqualified and not be evaluated further.**

## STAGE 2 - MANDATORY REQUIREMENTS

No.	Mandatory Requirements	Comply / Not Comply
1.	<p>The bidder insurance broker must be duly registered and licensed with the Financial Sector Conduct Authority (FSCA) in accordance with the relevant insurance legislation</p> <p><b>Evidence: Certificate to be provided on the bid closing date.</b></p>	
2.	<p>The bidder must possess a valid Professional Indemnity Insurance cover. The policy must provide financial protection of between R30,000,000 and R50,000,000 against losses arising from professional errors, omissions, or negligence by the broker that may result in financial loss to SEDFA or its associated offices.</p> <p>A <b>certified copy</b> of the professional Indemnity Insurance policy must be submitted.</p> <p><b>Certified copy must not be older than three (3) months from the bid closing date.</b></p>	

**Bidder (s) who failed to comply with the above Mandatory requirements will not be considered for further evaluation**

**STAGE 3.- FUNCTIONALITY CRITERIA**

**ANNEXURE C**

**FUNCTIONAL EVALUATION CRITERIA**

NO	REQUIREMENTS	Weighting
<p><b>1. Company experience and reference letters in Insurance Brokerage Services</b></p>	<p>The bidder must provide contactable reference letters in providing insurance brokerage services to public and/or private entities indicating the minimum of 10 years' experience</p> <p>Each letter must include:</p> <p>Name of the institution, description of service provided, duration of the contract, contact details and contract value and must be signed and dated.</p> <p>No reference letter submitted = <b>0 points</b></p> <ul style="list-style-type: none"> <li>• One (1) reference letter with Less than Three (3) years' experience = <b>10 points</b></li> <li>• Two (2) reference letters with four (4) years to five (5) years' experience= <b>20 points</b></li> <li>• Three (3) reference letters with six(6) to Seven (7) years' experience = <b>30 points</b></li> <li>• Four (4) reference letters with Eight (8) years to nine (9) years' experience = <b>40 points</b></li> <li>• Five reference letters with Ten (10) years and above experience =<b>50 points</b></li> </ul> <p><b>Purchase orders or appointment/award letters will not be accepted</b></p> <p><b>UNVERIFIABLE REFERENCES MAY RESULT IN DISQUALIFICATION</b></p>	<p><b>50</b></p>
<p><b>2. Service Team</b></p>		

NO	REQUIREMENTS	Weighting
<p><b>2.1. Project Manager</b></p>	<p>The bidder must submit a detailed curriculum Vitae of the proposed Project Manager indicating the Minimum of one (1) year of relevant experience in the insurance industry. A <b>certified copy</b> of a bachelor's degree, or advanced Diploma or Postgraduate qualification in Financial Management or Insurance and a certified copy of RE5 Certificate.</p> <p>NB: Failure to submit any of the required documents will result in zero (0) points</p> <p><i>Certified copies must not be older than three (3) months from the bid closing date.</i></p> <ul style="list-style-type: none"> <li>• Less than one (1) year experience = <b>0 points</b></li> <li>• 1 – 2 years' experience with A certified copy of a bachelor's degree, or advanced Diploma or Postgraduate qualification in Financial Management or Insurance and a certified copy of RE5 Certificate.= <b>5 points</b></li> <li>• 3 – 4 years' experience with A <b>certified copy</b> of a bachelor's degree, or advanced Diploma or Postgraduate qualification in Financial Management or Insurance and a certified copy of RE5 Certificate. = <b>10 points</b></li> <li>• 5 - 6 years' experience with A <b>certified copy</b> of a bachelor's degree, or advanced Diploma or Postgraduate qualification in Financial Management or Insurance and a certified copy of RE5 Certificate = <b>15 points</b></li> <li>• 7 and above years' experience with A <b>certified copy</b> of a bachelor's degree, or advanced Diploma or Postgraduate qualification in Financial Management or Insurance and a certified copy of RE5 Certificate = <b>20 points</b></li> </ul>	<p><b>20</b></p>
<p><b>2.2. Underwriter</b></p>	<p>The bidder must submit a detailed curriculum Vitae of the proposed <b>Underwriter</b> indicating the Minimum of one (1) year of relevant experience in the insurance industry. A certified copy of a Diploma qualification in Financial Management or Insurance and a certified copy of RE5 Certificate.</p> <p><b>NB: Failure to submit any of the required documents will result in zero (0) points</b></p> <p><i>Certified copies must not be older than three (3) months from the bid closing date.</i></p>	<p><b>20</b></p>

NO	REQUIREMENTS	Weighting
	<ul style="list-style-type: none"> <li>• Less than one (1) year experience = <b>0 points</b></li> <li>• 1 – 2 years’ experience with a certified copy of a Diploma qualification in Financial Management or Insurance and a certified copy of RE5 Certificate. = <b>5 points</b></li> <li>• 3 – 4 years’ experience with a certified copy of a Diploma qualification in Financial Management or Insurance and a certified copy of RE5 Certificate. = <b>10 points</b></li> <li>• 5 - 6 years’ experience with a certified copy of a Diploma qualification in Financial Management or Insurance and a certified copy of RE5 Certificate. = <b>15 points</b></li> <li>• 7 and above years’ experience with a certified copy of a Diploma qualification in Financial Management or Insurance and a certified copy of RE5 Certificate. = <b>20 points</b></li> </ul>	
<p><b>2.3. Claims technician</b></p>	<p>The bidder must submit a detailed curriculum Vitae of the proposed <b>Claims Technician</b> indicating the Minimum of one (1) year of relevant experience in the insurance industry. A certified copy of a Diploma qualification in Financial Management or Insurance and a certified copy of RE5 Certificate.</p> <p><b>NB: Failure to submit any of the required documents will result in zero (0) points</b></p> <p><i>Certified copies must not be older than three (3) months from the bid closing date.</i></p> <ul style="list-style-type: none"> <li>• Less than one (1) year experience = <b>0 point</b></li> <li>• 1 – 2 years’ experience with a certified copy of a Diploma qualification in Financial Management or Insurance and a certified copy of RE5 Certificate. = <b>5 points</b></li> <li>• 3 – 4 years’ experience with a certified copy of a Diploma qualification in Financial Management or Insurance and a certified copy of RE5 Certificate = <b>7 points</b></li> <li>• Five (5) and above years’ experience with a certified copy of a Diploma qualification in Financial Management or Insurance and a certified copy of RE5 Certificate = <b>10 points</b></li> </ul>	<p><b>10</b></p>
<p><b>TOTAL</b></p>		<p><b>100</b></p>

**Bidders are required to obtain a minimum threshold of 70 out of 100 points on functionality to be evaluated further. Any bidder who scored less than 70 Points will be eliminated and disqualified**

**ANNEXURE E**

**STAGE 4: EVALUATION OF PROPOSAL ON APPLICABLE POINTS SYSTEM**

1. Only bidders that have scored a minimum of **70 / 100** on Functionality will be evaluated during stage 4 for pricing and specific goals.
2. In terms of Preferential Procurement Regulations pertaining to the Preferential Procurement Policy Framework Act, 2000 (Act 5 of 2000) and the amended regulations, responsive bids will be adjudicated by the State on the applicable point system.
  - a. The applicable preference point system for this tender is the 80/20 preference point system.
  - b. In terms of 80/20 points system, points are awarded to bidders on the basis of:

<b>CRITERIA</b>	<b>POINTS</b>
Price	80
Specific Goals	20
<b>TOTAL</b>	<b>100 POINTS</b>

**Specific Goals for this tender and points that may be claimed are indicated per table below:**

**(Note to Tenderers: The tenderer must indicate how they claim points for each preference point system. Failure of the tenderer to submit the fully completed SBD 6.1 with the points claimed and supported by proof/documentation will result in points being forfeited)**

Specific Goals Allocated and Points to claimed in terms of this tender		
Indicate the following to support this claim. Failure to provide the required information will result in being forfeited.		
Indicate Number of <b><u>Full Time Employed Paid Employees:</u></b>	_____	
Total Annual Turnover or Revenue:	R_____	
Size of Enterprise	<b>Number of points allocated</b>	<b>Number of points claimed (80/20 system)</b>

	(80/20 system)	(To be completed by the tenderer)																
Micro Enterprise	8																	
Small Enterprise	5.6																	
Medium Enterprise	3.2																	
Large Enterprise	0.8																	
Sworn Affidavit/ BBBEE Certificate (Ownership aligned to B-BBEE Status Level)	2																	
<table border="1"> <thead> <tr> <th>L1</th> <th>L2</th> <th>L3</th> <th>L4</th> <th>L5</th> <th>L6</th> <th>L7</th> <th>L8</th> </tr> </thead> <tbody> <tr> <td>2</td> <td>1.75</td> <td>1.50</td> <td>1.25</td> <td>1</td> <td>0.75</td> <td>0.25</td> <td>0</td> </tr> </tbody> </table>	L1	L2	L3	L4	L5	L6	L7	L8	2	1.75	1.50	1.25	1	0.75	0.25	0		
L1	L2	L3	L4	L5	L6	L7	L8											
2	1.75	1.50	1.25	1	0.75	0.25	0											
Black Women Owned (more/ $\geq$ 30% owned)	4																	
Target Group: Youth	2																	
Spatial: Rural	4																	
Spatial: Townships	2.4																	
Spatial: City	0.8																	

**Supporting Document for Claiming of Specific Goals:**

The bidder must also indicate point claims on SBD 6.1.

**Size of Enterprise:** Micro, Small, Medium enterprises: maximum 8/20

**Verification Method:** National Small Enterprise thresholds for defining enterprise size classes by sector and CSD

Finance and Business Services	Medium	51 - 250	$\leq$ 85,0 million
	Small	11- 50	$\leq$ 35,0 million
	Micro	0- 10	$\leq$ 7,5 million

**B-BBEE (Black Ownership):** Maximum 2/20 points.

**Verification Method:** BBBEE certificate and or Sworn Affidavit:

**Youth** = 2/20 points which will be allocated follows:

**Verification Method:** CIPC and or CSD

**Spatial:** Rural and Township and City-based enterprises: 4/20 points

- Rural = maximum 4 points
- Township= 2.4
- City= 0.8

**Verification method:** Copy of Utility Bill, Lease Agreement, Title Deed, letter from Municipality outlining the physical address of the company and official letter with stamp from the local councilor.

Finance and Business Services	Medium	51 - 250	≤ 85,0 million
	Small	11- 50	≤ 35,0 million
	Micro	0- 10	≤ 7,5 million

N.B: Failure to submit supporting documentation for the claiming of specific goals will result in zero (0) points being allocated for respective specific goal

A maximum of 80 points is allocated for price on the following basis:

**80/20**

Where

Ps = Points scored for price of tender under consideration

Pt = Price of tender under consideration

Pmin = Price of lowest acceptable tender

The points scored by a bidder in respect of Specific Goals will be added to the points scored for price.

Only bidders who have completed and signed the declaration part of the Specific Goal form and who have submitted the relevant supporting documents will be allocated points.

The points scored will be rounded off to the nearest 2 decimals.

Criteria for breaking deadlock in scoring

- a) If two or more tenderers score an equal total number of points, the contract will be awarded to the tenderer that scored the highest points for Specific Goals.
- b) If two or more tenderers score equal total points in all respects, the award will be decided by the drawing of lots.

A contract may, on reasonable and justifiable grounds, be awarded to a tender that did not score the highest number of points.

**SEDFA** reserves the right to enter into negotiations with the preferred bidder.

**SEDFA** reserves the right to provide policy relating to the handling of information (Protection of Personal Information Act).

**APPOINTMENT OF A SHORT – TERM INSURANCE BROKER FOR THE PROVISION OF A CORPORATE SHORT-TERM INSURANCE COVER FOR THE SEDFA NATIONAL, PROVINCIAL & BRANCH OFFICES FOR A PERIOD OF THREE (3) YEARS.**

**1. OVERVIEW OF REQUIREMENTS**

- 1.1. Sedfa hereby invites suitably qualified and accredited bidders with proven experience in the short-term insurance industry to provide comprehensive, innovative, and cost-effective corporate insurance brokerage services. The appointed broker will be required to deliver short-term insurance solutions for Sedfa's operations, including portfolio management (including Public Liability for the Property Portfolio), policy placement, risk assessment, claims administration, and ongoing advisory support for Sedfa.
- 1.2. The appointed bidder is expected to tailor its short-term insurance brokerage services to Sedfa's operational environment, ensuring a high level of client satisfaction. The broker must proactively advise and guide Sedfa on all insurance-related matters that support and benefit the organisation and its employees.
- 1.3. The bidder must comply with all applicable legislation and regulatory requirements governing the short-term insurance industry. In addition, the bidder must adhere to all Sedfa policies, terms, and conditions.
- 1.4. The bidder will be required to provide continuous support and advisory services, ensuring availability for assistance and consultation as needed throughout the duration of the agreement.
- 1.5. The contract is for a period of thirty-six (36) months, effective from the date of appointment.

**2. THE PURPOSE OF THIS REQUEST**

**2.1.1. The purpose of this request for the bid is to:**

- 2.1.1.1 Appoint a suitably qualified bidder, registered with the relevant authorities, with extensive experience and a proven track record in providing corporate short-term insurance brokerage services, to deliver comprehensive insurance solutions and ongoing support to SEDFA for a period of thirty-six (36) months.
- 2.1.1.2 Sedfa will enter into agreement with a successful bidder(s) who will achieve the following:

- i. Provide Sedfa with high-quality, innovative, and cost-effective short-term insurance brokerage services without any reduction in service standards; and
- ii. Effectively manage and mitigate insurance-related risks.

2.1.1.3 Provide prospective bidders with sufficient information to clearly understand and address Sedfa's short-term insurance requirements.

2.1.1.4 Establish a structured framework for the evaluation and comparison of insurance brokerage proposals.

### **3. EXPECTED DELIVERABLES (KEY OUTPUTS)**

3.1. The performance of the insurance broker will be reviewed on a quarterly basis and the bidder must:

- i. Manage and administer all insurance claims and related procedures for Sedfa, regardless of location.
- ii. Continuously update the asset register to reflect newly added assets.
- iii. Ensure that Sedfa maintains adequate insurance coverage at all times, with any uninsured items formally declared and approved by Sedfa.
- iv. Submit a monthly report to Sedfa detailing all reported claims and newly added assets.

3.2. The following duties must be performed by the Broker:

- i. Sedfa's current insurance program will be underwritten as outlined below:
- ii. Attend quarterly meetings with Sedfa to review insured risks and discuss any claims submitted under the policy.
- iii. Provide ongoing advice to Sedfa on recommended policy changes, self-insurance options, or any other strategies related to insurable risks.

### **4. OBJECTIVES**

4.1. The objectives of these requirements are to:

4.1.1. Provide an insurance cover that will indemnify Sedfa in the event of claims associated with the required comprehensive insurance cover.

4.1.2. Cover for other perils associated with Sedfa's moveable and immovable assets (including the the KBP property portfolio Public Liability cover)

## 5. ARREARS TO BE COVERED

5.1. The areas to be covered entails the following:

Insured Perils	Subject Matter Description situation	Sums to be Insured	Premium
<b>Business All Risks</b>	Audio, Video, Visual, Camera, Cellphone, Tablets and Projector Equipment etc. (Single item limit R20,000)  Banners & Promotional Display Items (Single item limit R16,000)	<b>R 97 786 806</b>	
<b>Office Contents</b>	Buildings: For all Sedfa Offices 1. Contents 2. Theft 3.fire  Theft of contents by forcible and violent entry into and/or exit of premises	<b>R97 786 806</b>	
<b>Public Liability</b>	Building: Sedfa Offices Public liability refers to: <ul style="list-style-type: none"> <li>• Damages which the Insured shall become legally liable to pay consequent upon accidental death of or</li> <li>• bodily injury to or</li> <li>• illness of any person or</li> <li>• accidental loss of or</li> <li>• physical damage to tangible property occurring within the territorial limits during the period of insurance during</li> </ul>	<b>R 100 000 000</b>	

	<p>or in connection with the business.</p> <ul style="list-style-type: none"> <li>• Including general Liability</li> </ul>		
<b>Public Liability for KBP Buildings</b>	<p>Building: 46 KBP Buildings across South Africa</p> <p>Public liability refers to:</p> <ul style="list-style-type: none"> <li>• Damages which the Insured shall become legally liable to pay consequent upon accidental death of or</li> <li>• bodily injury to or</li> <li>• illness of any person or</li> </ul>		
<b>Electronic Equipment</b>	<p>Insured Property:</p> <p>Hardware Software External Hard drives and other mobile electronic equipment</p> <p>1. Phones including mobile (desktop/wireless and Cellular Phone</p> <ul style="list-style-type: none"> <li>• Laptop/Notebook Computer/Kiosk</li> <li>• Electronic Security System (Siemens)</li> <li>• Security Walk Through Scanner System</li> </ul>	<b>R63 633 170</b>	

	<ul style="list-style-type: none"> <li>Infrastructure (Data Centre) <ol style="list-style-type: none"> <li>Servers, Software owned, hired or leased by the insured &amp; used in connection with the business</li> </ol> </li> </ul> <p>LIMITS OF IMDEMNITY</p> <ul style="list-style-type: none"> <li>– transit (Maximum any one conveyance)</li> <li>– Reinstatement of Data</li> <li>– Increase Cost of Working</li> </ul>		
<b>Motor Fleet</b>	<p>Cover: Comprehensive</p> <ol style="list-style-type: none"> <li>248 x Vehicles (Average value R250 000 and above per vehicle)</li> <li>8x Trailers</li> <li>7x Mobile units</li> <li>The insurer shall provide comprehensive AA cover for all vehicles and mobile units, including mechanical breakdown, accident coverage, and SOS medical roadside assistance.</li> </ol>	<p><b>R30 000 000</b></p> <p><b>R 200 000</b></p> <p><b>R 300 000</b></p> <p><b>R 15 000 000</b></p>	
<b>Travel</b>	<p>Traveling: (monthly cover)</p> <ul style="list-style-type: none"> <li>Cover for Baggage for all staff and directors whilst on official trips</li> <li>Cover for Injuries, sickness &amp; Deaths whilst traveling on</li> </ul>	<b>R120 000 000</b>	

	<p>official business inside and outside the borders of SA.</p> <p><b>Approximately:</b></p> <p><b>60 travelers Locally per month and</b></p> <p><b>40 travelers Internationally per year</b></p>		
<b>Directors &amp; Officers Liability</b>	Covers legal cost for Directors	<b>R75 000 000</b>	
<b>Professional indemnity Liability</b>	Protects business against claims of negligence or mistakes made while providing professional services by covering legal fees and compensation costs	<b>R 50 000 000</b>	
<b>Sasria</b>	Covers loses due to strikes, riots, civil unrest etc	<b>R 322 660 933</b>	
<b>SASRIA (KBP Buildings)</b>	46 KBP Buildings : Cover for loses due to strikes, riots and other public and civil unrests to KBP Building across the country	<b>R200 000 000</b>	
<b>Total Motor-party insurance</b>	Covers incidents where an employee accidentally damages another person, s vehicle while driving a pool car	<b>R 50 000 000</b>	
<b>Total Material Damage</b>	Refers to physical damage or loss related to building , servers, generators, and infrastructure connected to the premises	<b>R 16 000 000</b>	
<b>Total business interruptions</b>	Covers loss that interrupt business operations' eg if the building burns down, the insurer will provide temporary office space and necessary resources to ensure continuity of operations	<b>R 11 000 000</b>	
<b>Total accidental damage</b>	Covers accidental damage of equipment of furniture and	<b>R 100 000</b>	

	fittings, including chairs, cupboards, cabinets and similar items		
<b>Total Theft</b>	Covers any items that are stolen	<b>R 2040 000</b>	
<b>Total Goods in transit</b>	Covers items like office furniture or packages that are being that are being moved or courier. If damaged during the process they are covered.	<b>R 1 200 000</b>	
<b>Total Glass</b>	Covers any glass item or fittings, including window or glass partitions as well as interior and exterior .	<b>R 2 400 000</b>	
<b>Total</b>			

## 6. DURATION OF THE CONTRACT

6.1. The contract is for a period of thirty – six (36) months, effective from the date of appointment.

## 7. PROJECT TIMELINES

7.1. The appointed Insurance broker will be expected to commence immediately after signing the contract and provide services for the period of thirty six (36) months, subject to annual review of service provider's performance.

## 8. BID PROPOSAL FORMAT

All bidders must return their proposals categorized and indexed under the following sections:

### 9. SECTION 1: LEGISLATIVE REQUIREMENTS

The bidder must supply the required documentation as outlined in this Request for Bid document as outlined in item 3.4.5

### 10. SECTION 2: MANDATORY AND FUNCTIONALITY REQUIREMENTS

The bidder must provide the information set out on mandatory and functionality requirements stated in the bid document.

## **11. SECTION 3: EXPERIENCE & REFERENCES**

The bidder must attach a copy of the company's proposal/profile, clearly outlining the number of years in provision of actuarial services in insurance with a clear indication of experience in the industry. The bidder must complete Table (a) outlining the experience and previous and current reference. Reference letters provided under this section should be same as individual letters provided on Annexure C on Functionality Requirements.

## **12. SECTION 4: PRICING PROPOSAL**

Bidders are required to submit price proposals based on the following:

- All prices should be in the South African Rand inclusive of taxes, disbursements, etc
- Escalation percentage for year two (02) and three (03) if applicable; and
- Bidders must indicate any other charges, if any.

## **13. SECTION 5: ADDITIONAL INFORMATION**

Any additional information pertinent to the proposal can be attached under this Section.

An electronic editable copy of the Bid Proposal Template will be available on the **SEDFA** website: [www.sedfa.org.za](http://www.sedfa.org.za) / [www.etenders.gov.za](http://www.etenders.gov.za)

**APPENDIX 1**

**BID PROPOSAL COVER PAGE**

**APPOINTMENT OF A SHORT – TERM INSURANCE BROKER FOR THE PROVISION OF A CORPORATE SHORT-TERM INSURANCE COVER FOR THE SEDFA NATIONAL, PROVINCIAL & BRANCH OFFICES FOR A PERIOD OF THREE (3) YEARS.**

Bid Number	
MAAA Number	
Company name	
CSD Number	
Contact Person	
Telephone Number	
e-mail address	

**SECTION 1: LEGISLATIVE REQUIREMENTS**

Attach all required documentation behind this section.

## **SECTION 2: MANDATORY AND FUNCTIONALITY REQUIREMENTS**

**SECTION 3: COMPANY PROFILE, EXPRIENCE & REFERENCE LETTERS**

Note to the Bidder: The bidder must complete the information set out below in response to the requirements stated in the bid document. If the bidder requires more space than is provided below it must prepare a document in substantially the same format setting out all the information referred to below and return it with this Returnable Schedule]

**The bidder must provide the following information:**

Client' Name	Transaction Description	Transaction Value	Project period		Description of service performed and extent of Bidder's responsibilities	Name, title, and telephone contact of client
			Start Date	End Date		

**SECTION 4: BIDDER TEAM QUALIFICATIONS AND EXPERIENCE**

Complete the table below and attach Curriculum Vitae's as part of this section.

<b>NAME &amp; SURNAME OF TEAM/STAFF MEMBER</b>	<b>ROLE IN THE TEAM</b>	<b>QUALIFICATIONS</b>	<b>YEARS OF EXPERIENCE</b>

**SECTION 5: PROJECT IMPLEMENTATION PLAN**

Attach required documentation under this section.

## **SECTION 6: PRICING PROPOSAL**

Bidder must submit a pricing proposal as outlined below.

1. Please indicate your total bid price here: R..... **(VAT Incl.)**

2. **NOTE: All prices must be VAT Inclusive and must be quoted in South African Rand (ZAR).**

3. Are the rates quoted firm for the full period of the contract?

4. Escalation percentage for year two (02) and three (03) if applicable; and

5. All additional costs associated the bidder's offer must be clearly specified and included in the Total Bid Price.

## **SECTION 7: ADDITIONAL INFORMATION**

Any additional information that is considered pertinent to the proposal can be attached under this section.

## PART A INVITATION TO BID

YOU ARE HEREBY INVITED TO BID FOR REQUIREMENTS OF THE (NAME OF DEPARTMENT/ PUBLIC ENTITY)					
BID NUMBER:	<b>RFB 15 - 2026/2027</b>	CLOSING DATE:	<b>23 July 2026</b>	CLOSING TIME:	<b>12:00pm</b>
DESCRIPTION	APPOINTMENT OF SERVICE PROVIDER FOR PROCUREMENT OF ALTERNATIVE OFFICE ACCOMMODATION FOR SMALL ENTERPRISE DEVELOPMENT AND FINANCE AGENCY (SEDFA) COMPRISING MINIMUM 1 362,40m2 AND 55 PARKING BAYS FOR THE PERIOD OF FIVE (5) YEARS: NORTH WEST PROVINCIAL & BRANCH OFFICE				
<b>BID RESPONSE DOCUMENTS MAY BE DEPOSITED IN THE BID BOX SITUATED AT (STREET ADDRESS)</b>					
Small Enterprise Development and Finance Agency					
The Fields, Office Block A					
Ground Floor					
1066 Burnett Street					
Hatfield, Pretoria, 0083					
<b>BIDDING PROCEDURE ENQUIRIES MAY BE DIRECTED TO</b>			<b>TECHNICAL ENQUIRIES MAY BE DIRECTED TO:</b>		
CONTACT PERSON		CONTACT PERSON			
TELEPHONE NUMBER		TELEPHONE NUMBER			
FACSIMILE NUMBER		FACSIMILE NUMBER			
E-MAIL ADDRESS		E-MAIL ADDRESS			
<b>SUPPLIER INFORMATION</b>					
NAME OF BIDDER					
POSTAL ADDRESS					
STREET ADDRESS					
TELEPHONE NUMBER	CODE		NUMBER		
CELLPHONE NUMBER					
FACSIMILE NUMBER	CODE		NUMBER		
E-MAIL ADDRESS					
VAT REGISTRATION NUMBER					
SUPPLIER COMPLIANCE STATUS	TAX COMPLIANCE SYSTEM PIN:		<b>OR</b>	CENTRAL SUPPLIER DATABASE No:	MAAA
B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE	TICK APPLICABLE BOX]		B-BBEE STATUS LEVEL SWORN AFFIDAVIT	[TICK APPLICABLE BOX]	
	<input type="checkbox"/> Yes <input type="checkbox"/> No			<input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>[A B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE/ SWORN AFFIDAVIT (FOR EMES &amp; QSEs) MUST BE SUBMITTED IN ORDER TO QUALIFY FOR PREFERENCE POINTS FOR B-BBEE]</b>					
ARE YOU THE ACCREDITED REPRESENTATIVE IN SOUTH AFRICA FOR THE GOODS /SERVICES /WORKS OFFERED?	<input type="checkbox"/> Yes <input type="checkbox"/> No [IF YES ENCLOSE PROOF]		ARE YOU A FOREIGN BASED SUPPLIER FOR THE GOODS /SERVICES /WORKS OFFERED?	<input type="checkbox"/> Yes <input type="checkbox"/> No [IF YES, ANSWER THE QUESTIONNAIRE BELOW ]	
<b>QUESTIONNAIRE TO BIDDING FOREIGN SUPPLIERS</b>					
IS THE ENTITY A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)?				<input type="checkbox"/> YES <input type="checkbox"/> NO	
DOES THE ENTITY HAVE A BRANCH IN THE RSA?				<input type="checkbox"/> YES <input type="checkbox"/> NO	

DOES THE ENTITY HAVE A PERMANENT ESTABLISHMENT IN THE RSA?	<input type="checkbox"/> YES <input type="checkbox"/> NO
DOES THE ENTITY HAVE ANY SOURCE OF INCOME IN THE RSA?	<input type="checkbox"/> YES <input type="checkbox"/> NO
IS THE ENTITY LIABLE IN THE RSA FOR ANY FORM OF TAXATION?	<input type="checkbox"/> YES <input type="checkbox"/> NO
<b>IF THE ANSWER IS "NO" TO ALL OF THE ABOVE, THEN IT IS NOT A REQUIREMENT TO REGISTER FOR A TAX COMPLIANCE STATUS SYSTEM PIN CODE FROM THE SOUTH AFRICAN REVENUE SERVICE (SARS) AND IF NOT REGISTER AS PER 2.3 BELOW.</b>	

**PART B  
TERMS AND CONDITIONS FOR BIDDING**

<b>1. BID SUBMISSION:</b>
<p>1.1. BIDS MUST BE DELIVERED BY THE STIPULATED TIME TO THE CORRECT ADDRESS. LATE BIDS WILL NOT BE ACCEPTED FOR CONSIDERATION.</p> <p>1.2. <b>ALL BIDS MUST BE SUBMITTED ON THE OFFICIAL FORMS PROVIDED--(NOT TO BE RE-TYPED) OR IN THE MANNER PRESCRIBED IN THE BID DOCUMENT.</b></p> <p>1.3. THIS BID IS SUBJECT TO THE PREFERENTIAL PROCUREMENT POLICY FRAMEWORK ACT, 2000 AND THE PREFERENTIAL PROCUREMENT REGULATIONS, 2017, THE GENERAL CONDITIONS OF CONTRACT (GCC) AND, IF APPLICABLE, ANY OTHER SPECIAL CONDITIONS OF CONTRACT.</p> <p>1.4. <b>THE SUCCESSFUL BIDDER WILL BE REQUIRED TO FILL IN AND SIGN A WRITTEN CONTRACT FORM (SBD7).</b></p>
<b>2. TAX COMPLIANCE REQUIREMENTS</b>
<p>2.1 BIDDERS MUST ENSURE COMPLIANCE WITH THEIR TAX OBLIGATIONS.</p> <p>2.2 BIDDERS ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN) ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VERIFY THE TAXPAYER'S PROFILE AND TAX STATUS.</p> <p>2.3 APPLICATION FOR TAX COMPLIANCE STATUS (TCS) PIN MAY BE MADE VIA E-FILING THROUGH THE SARS WEBSITE WWW.SARS.GOV.ZA.</p> <p>2.4 BIDDERS MAY ALSO SUBMIT A PRINTED TCS CERTIFICATE TOGETHER WITH THE BID.</p> <p>2.5 IN BIDS WHERE CONSORTIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED, EACH PARTY MUST SUBMIT A SEPARATE TCS CERTIFICATE / PIN / CSD NUMBER.</p> <p>2.6 WHERE NO TCS PIN IS AVAILABLE BUT THE BIDDER IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED.</p> <p>2.7 NO BIDS WILL BE CONSIDERED FROM PERSONS IN THE SERVICE OF THE STATE, COMPANIES WITH DIRECTORS WHO ARE PERSONS IN THE SERVICE OF THE STATE, OR CLOSE CORPORATIONS WITH MEMBERS PERSONS IN THE SERVICE OF THE STATE."</p>

**NB: FAILURE TO PROVIDE / OR COMPLY WITH ANY OF THE ABOVE PARTICULARS MAY RENDER THE BID INVALID.**

SIGNATURE OF BIDDER: .....

CAPACITY UNDER WHICH THIS BID IS SIGNED: .....  
(Proof of authority must be submitted e.g. company resolution)

DATE: .....

**PRICING SCHEDULE**  
**(Professional Services)**

NAME OF BIDDER: .....	BID NO.: .....
CLOSING TIME 12:00	CLOSING DATE.....

OFFER TO BE VALID FOR .....DAYS FROM THE CLOSING DATE OF BID.

ITEM NO	DESCRIPTION	BID PRICE IN RSA CURRENCY **(ALL APPLICABLE TAXES INCLUDED)
---------	-------------	--

1. The accompanying information must be used for the formulation of proposals.
2. Bidders are required to indicate a ceiling price based on the total estimated time for completion of all phases and including all expenses inclusive of all applicable taxes for the project. R.....

3. PERSONS WHO WILL BE INVOLVED IN THE PROJECT AND RATES APPLICABLE (CERTIFIED INVOICES MUST BE RENDERED IN TERMS HEREOF)

4. PERSON AND POSITION	HOURLY RATE	DAILY RATE
.....	R.....	.....
.....	R.....	.....
.....	R.....	.....
.....	R.....	.....
.....	R.....	.....

5. PHASES ACCORDING TO WHICH THE PROJECT WILL BE COMPLETED, COST PER PHASE AND MAN-DAYS TO BE SPENT

.....	R.....	..... days
.....	R.....	..... days
.....	R.....	..... days
.....	R.....	..... days

5.1 Travel expenses (specify, for example rate/km and total km, class of airtravel, etc). Only actual costs are recoverable. Proof of the expenses incurred must accompany certified invoices.

DESCRIPTION OF EXPENSE TO BE INCURRED	RATE	QUANTITY	AMOUNT
.....	.....	.....	R.....
.....	.....	.....	R.....
.....	.....	.....	R.....
.....	.....	.....	R.....
TOTAL: R.....			

\*\* "all applicable taxes" includes value-added tax, pay as you earn, income tax, unemployment insurance contributions and skills development levies.

Name of Bidder: .....

5.2 Other expenses, for example accommodation (specify, eg. Three star hotel, bed and breakfast, telephone cost, reproduction cost, etc.). On basis of these particulars, certified invoices will be checked for correctness. Proof of the expenses must accompany invoices.

DESCRIPTION OF EXPENSE TO BE INCURRED	RATE	QUANTITY	AMOUNT
.....	.....	.....	R.....
.....	.....	.....	R.....
.....	.....	.....	R.....
.....	.....	.....	R.....
TOTAL: R.....			

- 6. Period required for commencement with project after acceptance of bid .....  
.....
- 7. Estimated man-days for completion of project .....  
.....
- 8. Are the rates quoted firm for the full period of contract? \*YES/NO
- 9. If not firm for the full period, provide details of the basis on which adjustments will be applied for, for example consumer price index. ....  
.....  
.....  
.....

**\*[DELETE IF NOT APPLICABLE]**

Any enquiries regarding bidding procedures may be directed to the –  
(INSERT NAME AND ADDRESS OF DEPARTMENT/ENTITY)

Tel:  
Or for technical information –  
(INSERT NAME OF CONTACT PERSON)

Tel:

## BIDDER'S DISCLOSURE

### 1. PURPOSE OF THE FORM

Any person (natural or juristic) may make an offer or offers in terms of this invitation to bid. In line with the principles of transparency, accountability, impartiality, and ethics as enshrined in the Constitution of the Republic of South Africa and further expressed in various pieces of legislation, it is required for the bidder to make this declaration in respect of the details required hereunder.

Where a person/s are listed in the Register for Tender Defaulters and / or the List of Restricted Suppliers, that person will automatically be disqualified from the bid process.

### 2. Bidder's declaration

2.1 Is the bidder, or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest<sup>1</sup> in the enterprise, employed by the state? **YES/NO**

2.1.1 If so, furnish particulars of the names, individual identity numbers, and, if applicable, state employee numbers of sole proprietor/ directors / trustees / shareholders / members/ partners or any person having a controlling interest in the enterprise, in table below.

Full Name	Identity Number	Name of State institution

2.2 Do you, or any person connected with the bidder, have a relationship

---

<sup>1</sup> the power, by one person or a group of persons holding the majority of the equity of an enterprise, alternatively, the person/s having the deciding vote or power to influence or to direct the course and decisions of the enterprise.

with any person who is employed by the procuring institution? **YES/NO**

2.2.1 If so, furnish particulars:

.....  
.....

2.3 Does the bidder or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest in the enterprise have any interest in any other related enterprise whether or not they are bidding for this contract? **YES/NO**

2.3.1 If so, furnish particulars:

.....  
.....

**3 DECLARATION**

I, \_\_\_\_\_ the \_\_\_\_\_ undersigned, (name)..... in submitting the accompanying bid, do hereby make the following statements that I certify to be true and complete in every respect:

- 3.1 I have read and I understand the contents of this disclosure;
- 3.2 I understand that the accompanying bid will be disqualified if this disclosure is found not to be true and complete in every respect;
- 3.3 The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement or arrangement with any competitor. However, communication between partners in a joint venture or consortium<sup>2</sup> will not be construed as collusive bidding.
- 3.4 In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications, prices, including methods, factors or formulas used to calculate prices, market allocation, the intention or decision to submit or not to submit the bid, bidding with the intention not to win the bid and conditions or delivery particulars of the products or services to which this bid invitation relates.
- 3.4 The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.
- 3.5 There have been no consultations, communications, agreements or arrangements made by the bidder with any official of the procuring

---

<sup>2</sup> Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.

institution in relation to this procurement process prior to and during the bidding process except to provide clarification on the bid submitted where so required by the institution; and the bidder was not involved in the drafting of the specifications or terms of reference for this bid.

- 3.6 I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

I CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 1, 2 and 3 ABOVE IS CORRECT.

I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME IN TERMS OF PARAGRAPH 6 OF PFMA SCM INSTRUCTION 03 OF 2021/22 ON PREVENTING AND COMBATING ABUSE IN THE SUPPLY CHAIN MANAGEMENT SYSTEM SHOULD THIS DECLARATION PROVE TO BE FALSE.

.....  
Signature Date

.....  
Position Name of bidder

## SBD 6.1

**PREFERENCE POINTS CLAIM FORM IN TERMS OF THE PREFERENTIAL  
PROCUREMENT REGULATIONS 2022**

This preference form must form part of all tenders invited. It contains general information and serves as a claim form for preference points for specific goals.

**NB: BEFORE COMPLETING THIS FORM, TENDERERS MUST STUDY THE GENERAL CONDITIONS, DEFINITIONS AND DIRECTIVES APPLICABLE IN RESPECT OF THE TENDER AND PREFERENTIAL PROCUREMENT REGULATIONS, 2022**

---

**1. GENERAL CONDITIONS**

1.1 The following preference point systems are applicable to invitations to RFQ's/Tenders:

- the 80/20 system for requirements with a Rand value from up to R50 000 000 (all applicable taxes included); and

**1.2 To be completed by the organ of state**

a) The applicable preference point system for this RFQ/Tender is the **80/20** preference point system.

1.3 Points for this tender (even in the case of a tender for income-generating contracts) shall be awarded for:

- (a) Price; and
- (b) Specific Goals.

**1.4 To be completed by the organ of state:**

The maximum points for this RFQ/Tender are allocated as follows:

	<b>POINTS</b>
<b>PRICE</b>	80
<b>SPECIFIC GOALS</b>	20
<b>Total points for Price and SPECIFIC GOALS</b>	<b>100</b>

1.5 Failure on the part of a tenderer to submit proof or documentation required in terms of this RFQ/Tender to claim points for **Specific Goals** with the RFQ/Tender, will be interpreted to mean that preference points for specific goals are not claimed.

1.6 The organ of state reserves the right to require of a tenderer, either before a RFQ/Tender is adjudicated or at any time subsequently, to substantiate any claim regarding preferences, in any manner required by the organ of state.

## 2. DEFINITIONS

- (a) **“tender”** means a written offer in the form determined by an organ of state in response to an invitation to provide goods or services through price quotations, competitive tendering process or any other method envisaged in legislation;
- (b) **“price”** means an amount of money tendered for goods or services, and includes all applicable taxes less all unconditional discounts;
- (c) **“rand value”** means the total estimated value of a contract in Rand, calculated at the time of bid invitation, and includes all applicable taxes;
- (d) **“tender for income-generating contracts”** means a written offer in the form determined by an organ of state in response to an invitation for the origination of income-generating contracts through any method envisaged in legislation that will result in a legal agreement between the organ of state and a third party that produces revenue for the organ of state, and includes, but is not limited to, leasing and disposal of assets and concession contracts, excluding direct sales and disposal of assets through public auctions; and
- (e) **“the Act”** means the Preferential Procurement Policy Framework Act, 2000 (Act No. 5 of 2000).

## 3. FORMULAE FOR PROCUREMENT OF GOODS AND SERVICES

### 3.1. POINTS AWARDED FOR PRICE

#### 3.1.1 THE 80/20 PREFERENCE POINT SYSTEMS

A maximum of 80 points is allocated for price on the following basis:

**80/20**

$$Ps = 80 \left( 1 - \frac{Pt - Pmin}{Pmin} \right)$$

Where

Ps = Points scored for price of tender under consideration

Pt = Price of tender under consideration

Pmin = Price of lowest acceptable tender

### 3.2. FORMULAE FOR DISPOSAL OR LEASING OF STATE ASSETS AND INCOME GENERATING PROCUREMENT

#### 3.2.1. POINTS AWARDED FOR PRICE

A maximum of 80 points is allocated for price on the following basis:

**80/20**

$$P_s = 80 \left( 1 + \frac{P_t - P_{max}}{P_{max}} \right)$$

Where

$P_s$  = Points scored for price of tender under consideration

$P_t$  = Price of tender under consideration

$P_{max}$  = Price of highest acceptable tender

#### 4. POINTS AWARDED FOR SPECIFIC GOALS

4.1. In terms of Regulation 4(2); 5(2); 6(2) and 7(2) of the Preferential Procurement Regulations, preference points must be awarded for specific goals stated in the tender. For the purposes of this tender the tenderer will be allocated points based on the goals stated in table 1 below as may be supported by proof/documentation stated in the conditions of this tender:

4.2. In cases where organs of state intend to use Regulation 3(2) of the Regulations, which states that, if it is unclear whether the 80/20 or 90/10 preference point system applies, an organ of state must, in the tender documents, stipulate in the case of—

(a) an invitation for tender for income-generating contracts, that either the 80/20 or 90/10 preference point system will apply and that the highest acceptable tender will be used to determine the applicable preference point system; or

(b) any other invitation for tender, that either the 80/20 or 90/10 preference point system will apply and that the lowest acceptable tender will be used to determine the applicable preference point system,

then the organ of state must indicate the points allocated for specific goals for both the 90/10 and 80/20 preference point system.

**Table 1: Specific Goals for the RFQ/Tender and points claimed are indicated as per the table below.**

***(The 80/20 preference point system is applicable, corresponding points will also be indicated as such).***

**(Note to Tenderers: The tenderer must indicate how they claim points for each preference point system. Failure of the tenderer to submit the fully completed SBD 6.1 with the points claimed and supported by proof/documentation will result in points being forfeited)**

Specific Goals Allocated and Points to claimed in terms of this RFQ	
Indicate the following to support this claim. Failure to provide the required information will result in being forfeited.	
Indicate Number of <u>Full Time Employed Paid Employees</u> :	_____

Total Annual Turnover or Revenue:		R _____																	
Size of Enterprise	<b>Number of points allocated (80/20 system)</b>	<b>Number of points claimed (80/20 system) (To be completed by the tenderer)</b>																	
Micro Enterprise	8																		
Small Enterprise	5.6																		
Medium Enterprise	3.2																		
Large Enterprise	0.8																		
Sworn Affidavit/ BBBEE Certificate (Ownership aligned to B-BBEE Status Level)	2																		
<table border="1"> <thead> <tr> <th>L1</th> <th>L2</th> <th>L3</th> <th>L4</th> <th>L5</th> <th>L6</th> <th>L7</th> <th>L8</th> </tr> </thead> <tbody> <tr> <td>2</td> <td>1.75</td> <td>1.50</td> <td>1.25</td> <td>1</td> <td>0.75</td> <td>0.25</td> <td>0</td> </tr> </tbody> </table>	L1	L2	L3	L4	L5	L6	L7	L8	2	1.75	1.50	1.25	1	0.75	0.25	0			
L1	L2	L3	L4	L5	L6	L7	L8												
2	1.75	1.50	1.25	1	0.75	0.25	0												
Black Women Owned (more/ $\geq$ 30% owned)	4																		
Target Group: Youth	2																		
Spatial: Rural	4																		
Spatial: Townships	2.4																		
Spatial: City	0.8																		

The National Small Enterprise Act thresholds for defining enterprise size classes by sector, using two proxies as gazette 15 March 2019

Column 1	Column 2	Column 3	Column 4
Sectors or sub-sectors in accordance with the Standard Industrial Classification	Size or class of enterprise	Total full-time equivalent of paid employees	Total annual turnover
Agriculture	Medium	51 - 250	≤ 35,0 million
	Small	11- 50	≤ 17,0 million
	Micro	0 – 10	≤ 7,0 million
Mining and Quarrying	Medium	51 - 250	≤ 210,0 million
	Small	11- 50	≤ 50,0 million
	Micro	0 – 10	≤ 15,0 million
Manufacturing	Medium	51 - 250	≤ 170,0 million
	Small	11- 50	≤ 50,0 million
	Micro	0 – 10	≤ 10,0 million
Electricity, Gas and Water	Medium	51 - 250	≤ 180,0 million
	Small	11- 50	≤ 60,0 million
	Micro	0- 10	≤ 10,0 million
Construction	Medium	51 - 250	≤ 170,0 million
	Small	11- 50	≤ 75,0 million
	Micro	0- 10	≤ 10,0 million
Retail, motor trade and repair services.	Medium	51 - 250	≤ 80,0 million
	Small	11- 50	≤ 25,0 million
	Micro	0 – 10	≤ 7,5 million
Wholesale	Medium	51 - 250	≤ 220,0 million
	Small	11- 50	≤ 80,0 million
	Micro	0 – 10	≤ 20,0 million
Catering, Accommodation and other Trade	Medium	51 - 250	≤ 40,0 million
	Small	11- 50	≤ 15,0 million
	Micro	0 – 10	≤ 5,0 million
Transport, Storage and Communications	Medium	51 - 250	≤ 140,0 million
	Small	11- 50	≤ 45,0 million
	Micro	0 – 10	≤ 7,5 million
Finance and Business Services	Medium	51 - 250	≤ 85,0 million
	Small	11- 50	≤ 35,0 million
	Micro	0- 10	≤ 7,5 million
Community, Social and Personal Services	Medium	51 - 250	≤ 70,0 million
	Small	11- 50	≤ 22,0 million
	Micro	0 – 10	≤ 5,0 million

01:35:05

12

## DECLARATION WITH REGARD TO COMPANY/FIRM

4.3. Name of company/firm.....

4.4. Company registration number:  
.....

4.5. TYPE OF COMPANY/ FIRM

- Partnership/Joint Venture / Consortium
- One-person business/sole propriety
- Close corporation
- Public Company

- Personal Liability Company
- (Pty) Limited
- Non-Profit Company
- State Owned Company

[TICK APPLICABLE BOX]

4.6. I, the undersigned, who is duly authorised to do so on behalf of the company/firm, certify that the points claimed, based on the specific goals as advised in the tender, qualifies the company/ firm for the preference(s) shown and I acknowledge that:

- i) The information furnished is true and correct;
- ii) The preference points claimed are in accordance with the General Conditions as indicated in paragraph 1 of this form;
- iii) In the event of a contract being awarded as a result of points claimed as shown in paragraphs 1.4 and 4.2, the contractor may be required to furnish documentary proof to the satisfaction of the organ of state that the claims are correct;
- iv) If the specific goals have been claimed or obtained on a fraudulent basis or any of the conditions of contract have not been fulfilled, the organ of state may, in addition to any other remedy it may have –
  - (a) disqualify the person from the tendering process;
  - (b) recover costs, losses or damages it has incurred or suffered as a result of that person's conduct;
  - (c) cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such cancellation;
  - (d) recommend that the tenderer or contractor, its shareholders and directors, or only the shareholders and directors who acted on a fraudulent basis, be restricted from obtaining business from any organ of state for a period not exceeding 10 years, after the *audi alteram partem* (hear the other side) rule has been applied; and
  - (e) forward the matter for criminal prosecution, if deemed necessary.

.....  
SIGNATURE(S) OF TENDERER(S)

SURNAME AND NAME: .....

DATE: .....

ADDRESS: .....

.....

.....

.....

# **THE NATIONAL TREASURY**

**Republic of South Africa**



---

## **GOVERNMENT PROCUREMENT: GENERAL CONDITIONS OF CONTRACT**

**July 2010**

**GOVERNMENT PROCUREMENT**  
**GENERAL CONDITIONS OF CONTRACT**  
**July 2010**

**NOTES**

The purpose of this document is to:

- (i) Draw special attention to certain general conditions applicable to government bids, contracts and orders; and
- (ii) To ensure that clients be familiar with regard to the rights and obligations of all parties involved in doing business with government.

In this document words in the singular also mean in the plural and vice versa and words in the masculine also mean in the feminine and neuter.

- The General Conditions of Contract will form part of all bid documents and may not be amended.
- Special Conditions of Contract (SCC) relevant to a specific bid, should be compiled separately for every bid (if applicable) and will supplement the General Conditions of Contract. Whenever there is a conflict, the provisions in the SCC shall prevail.

## TABLE OF CLAUSES

1. Definitions
2. Application
3. General
4. Standards
5. Use of contract documents and information; inspection
6. Patent rights
7. Performance security
8. Inspections, tests and analysis
9. Packing
10. Delivery and documents
11. Insurance
12. Transportation
13. Incidental services
14. Spare parts
15. Warranty
16. Payment
17. Prices
18. Contract amendments
19. Assignment
20. Subcontracts
21. Delays in the supplier's performance
22. Penalties
23. Termination for default
24. Dumping and countervailing duties
25. Force Majeure
26. Termination for insolvency
27. Settlement of disputes
28. Limitation of liability
29. Governing language
30. Applicable law
31. Notices
32. Taxes and duties
33. National Industrial Participation Programme (NIPP)
34. Prohibition of restrictive practices

## General Conditions of Contract

### 1. Definitions

1. The following terms shall be interpreted as indicated:
  - 1.1 “Closing time” means the date and hour specified in the bidding documents for the receipt of bids.
  - 1.2 “Contract” means the written agreement entered into between the purchaser and the supplier, as recorded in the contract form signed by the parties, including all attachments and appendices thereto and all documents incorporated by reference therein.
  - 1.3 “Contract price” means the price payable to the supplier under the contract for the full and proper performance of his contractual obligations.
  - 1.4 “Corrupt practice” means the offering, giving, receiving, or soliciting of any thing of value to influence the action of a public official in the procurement process or in contract execution.
  - 1.5 "Countervailing duties" are imposed in cases where an enterprise abroad is subsidized by its government and encouraged to market its products internationally.
  - 1.6 “Country of origin” means the place where the goods were mined, grown or produced or from which the services are supplied. Goods are produced when, through manufacturing, processing or substantial and major assembly of components, a commercially recognized new product results that is substantially different in basic characteristics or in purpose or utility from its components.
  - 1.7 “Day” means calendar day.
  - 1.8 “Delivery” means delivery in compliance of the conditions of the contract or order.
  - 1.9 “Delivery ex stock” means immediate delivery directly from stock actually on hand.
  - 1.10 “Delivery into consignees store or to his site” means delivered and unloaded in the specified store or depot or on the specified site in compliance with the conditions of the contract or order, the supplier bearing all risks and charges involved until the supplies are so delivered and a valid receipt is obtained.
  - 1.11 "Dumping" occurs when a private enterprise abroad market its goods on own initiative in the RSA at lower prices than that of the country of origin and which have the potential to harm the local industries in the

RSA.

- 1.12 "Force majeure" means an event beyond the control of the supplier and not involving the supplier's fault or negligence and not foreseeable. Such events may include, but is not restricted to, acts of the purchaser in its sovereign capacity, wars or revolutions, fires, floods, epidemics, quarantine restrictions and freight embargoes.
- 1.13 "Fraudulent practice" means a misrepresentation of facts in order to influence a procurement process or the execution of a contract to the detriment of any bidder, and includes collusive practice among bidders (prior to or after bid submission) designed to establish bid prices at artificial non-competitive levels and to deprive the bidder of the benefits of free and open competition.
- 1.14 "GCC" means the General Conditions of Contract.
- 1.15 "Goods" means all of the equipment, machinery, and/or other materials that the supplier is required to supply to the purchaser under the contract.
- 1.16 "Imported content" means that portion of the bidding price represented by the cost of components, parts or materials which have been or are still to be imported (whether by the supplier or his subcontractors) and which costs are inclusive of the costs abroad, plus freight and other direct importation costs such as landing costs, dock dues, import duty, sales duty or other similar tax or duty at the South African place of entry as well as transportation and handling charges to the factory in the Republic where the supplies covered by the bid will be manufactured.
- 1.17 "Local content" means that portion of the bidding price which is not included in the imported content provided that local manufacture does take place.
- 1.18 "Manufacture" means the production of products in a factory using labour, materials, components and machinery and includes other related value-adding activities.
- 1.19 "Order" means an official written order issued for the supply of goods or works or the rendering of a service.
- 1.20 "Project site," where applicable, means the place indicated in bidding documents.
- 1.21 "Purchaser" means the organization purchasing the goods.
- 1.22 "Republic" means the Republic of South Africa.
- 1.23 "SCC" means the Special Conditions of Contract.
- 1.24 "Services" means those functional services ancillary to the supply of the goods, such as transportation and any other incidental services, such as installation, commissioning, provision of technical assistance, training, catering, gardening, security, maintenance and other such

obligations of the supplier covered under the contract.

1.25 “Written” or “in writing” means handwritten in ink or any form of electronic or mechanical writing.

## **2. Application**

2.1 These general conditions are applicable to all bids, contracts and orders including bids for functional and professional services, sales, hiring, letting and the granting or acquiring of rights, but excluding immovable property, unless otherwise indicated in the bidding documents.

2.2 Where applicable, special conditions of contract are also laid down to cover specific supplies, services or works.

2.3 Where such special conditions of contract are in conflict with these general conditions, the special conditions shall apply.

## **3. General**

3.1 Unless otherwise indicated in the bidding documents, the purchaser shall not be liable for any expense incurred in the preparation and submission of a bid. Where applicable a non-refundable fee for documents may be charged.

3.2 With certain exceptions, invitations to bid are only published in the Government Tender Bulletin. The Government Tender Bulletin may be obtained directly from the Government Printer, Private Bag X85, Pretoria 0001, or accessed electronically from [www.treasury.gov.za](http://www.treasury.gov.za)

## **4. Standards**

4.1 The goods supplied shall conform to the standards mentioned in the bidding documents and specifications.

## **5. Use of contract documents and information; inspection.**

5.1 The supplier shall not, without the purchaser’s prior written consent, disclose the contract, or any provision thereof, or any specification, plan, drawing, pattern, sample, or information furnished by or on behalf of the purchaser in connection therewith, to any person other than a person employed by the supplier in the performance of the contract. Disclosure to any such employed person shall be made in confidence and shall extend only so far as may be necessary for purposes of such performance.

5.2 The supplier shall not, without the purchaser’s prior written consent, make use of any document or information mentioned in GCC clause 5.1 except for purposes of performing the contract.

5.3 Any document, other than the contract itself mentioned in GCC clause 5.1 shall remain the property of the purchaser and shall be returned (all copies) to the purchaser on completion of the supplier’s performance under the contract if so required by the purchaser.

5.4 The supplier shall permit the purchaser to inspect the supplier’s records relating to the performance of the supplier and to have them audited by auditors appointed by the purchaser, if so required by the purchaser.

## **6. Patent rights**

6.1 The supplier shall indemnify the purchaser against all third-party claims of infringement of patent, trademark, or industrial design rights arising from use of the goods or any part thereof by the purchaser.

**7. Performance security**

- 7.1 Within thirty (30) days of receipt of the notification of contract award, the successful bidder shall furnish to the purchaser the performance security of the amount specified in SCC.
- 7.2 The proceeds of the performance security shall be payable to the purchaser as compensation for any loss resulting from the supplier's failure to complete his obligations under the contract.
- 7.3 The performance security shall be denominated in the currency of the contract, or in a freely convertible currency acceptable to the purchaser and shall be in one of the following forms:
  - (a) a bank guarantee or an irrevocable letter of credit issued by a reputable bank located in the purchaser's country or abroad, acceptable to the purchaser, in the form provided in the bidding documents or another form acceptable to the purchaser; or
  - (b) a cashier's or certified cheque
- 7.4 The performance security will be discharged by the purchaser and returned to the supplier not later than thirty (30) days following the date of completion of the supplier's performance obligations under the contract, including any warranty obligations, unless otherwise specified in SCC.

**8. Inspections, tests and analyses**

- 8.1 All pre-bidding testing will be for the account of the bidder.
- 8.2 If it is a bid condition that supplies to be produced or services to be rendered should at any stage during production or execution or on completion be subject to inspection, the premises of the bidder or contractor shall be open, at all reasonable hours, for inspection by a representative of the Department or an organization acting on behalf of the Department.
- 8.3 If there are no inspection requirements indicated in the bidding documents and no mention is made in the contract, but during the contract period it is decided that inspections shall be carried out, the purchaser shall itself make the necessary arrangements, including payment arrangements with the testing authority concerned.
- 8.4 If the inspections, tests and analyses referred to in clauses 8.2 and 8.3 show the supplies to be in accordance with the contract requirements, the cost of the inspections, tests and analyses shall be defrayed by the purchaser.
- 8.5 Where the supplies or services referred to in clauses 8.2 and 8.3 do not comply with the contract requirements, irrespective of whether such supplies or services are accepted or not, the cost in connection with these inspections, tests or analyses shall be defrayed by the supplier.
- 8.6 Supplies and services which are referred to in clauses 8.2 and 8.3 and which do not comply with the contract requirements may be rejected.
- 8.7 Any contract supplies may on or after delivery be inspected, tested or

analyzed and may be rejected if found not to comply with the requirements of the contract. Such rejected supplies shall be held at the cost and risk of the supplier who shall, when called upon, remove them immediately at his own cost and forthwith substitute them with supplies which do comply with the requirements of the contract. Failing such removal the rejected supplies shall be returned at the suppliers cost and risk. Should the supplier fail to provide the substitute supplies forthwith, the purchaser may, without giving the supplier further opportunity to substitute the rejected supplies, purchase such supplies as may be necessary at the expense of the supplier.

8.8 The provisions of clauses 8.4 to 8.7 shall not prejudice the right of the purchaser to cancel the contract on account of a breach of the conditions thereof, or to act in terms of Clause 23 of GCC.

**9. Packing**

9.1 The supplier shall provide such packing of the goods as is required to prevent their damage or deterioration during transit to their final destination, as indicated in the contract. The packing shall be sufficient to withstand, without limitation, rough handling during transit and exposure to extreme temperatures, salt and precipitation during transit, and open storage. Packing, case size and weights shall take into consideration, where appropriate, the remoteness of the goods' final destination and the absence of heavy handling facilities at all points in transit.

9.2 The packing, marking, and documentation within and outside the packages shall comply strictly with such special requirements as shall be expressly provided for in the contract, including additional requirements, if any, specified in SCC, and in any subsequent instructions ordered by the purchaser.

**10. Delivery and documents**

10.1 Delivery of the goods shall be made by the supplier in accordance with the terms specified in the contract. The details of shipping and/or other documents to be furnished by the supplier are specified in SCC.

10.2 Documents to be submitted by the supplier are specified in SCC.

**11. Insurance**

11.1 The goods supplied under the contract shall be fully insured in a freely convertible currency against loss or damage incidental to manufacture or acquisition, transportation, storage and delivery in the manner specified in the SCC.

**12. Transportation**

12.1 Should a price other than an all-inclusive delivered price be required, this shall be specified in the SCC.

**13. Incidental services**

13.1 The supplier may be required to provide any or all of the following services, including additional services, if any, specified in SCC:

- (a) performance or supervision of on-site assembly and/or commissioning of the supplied goods;
- (b) furnishing of tools required for assembly and/or maintenance of the supplied goods;
- (c) furnishing of a detailed operations and maintenance manual for each appropriate unit of the supplied goods;

- (d) performance or supervision or maintenance and/or repair of the supplied goods, for a period of time agreed by the parties, provided that this service shall not relieve the supplier of any warranty obligations under this contract; and
- (e) training of the purchaser's personnel, at the supplier's plant and/or on-site, in assembly, start-up, operation, maintenance, and/or repair of the supplied goods.

13.2 Prices charged by the supplier for incidental services, if not included in the contract price for the goods, shall be agreed upon in advance by the parties and shall not exceed the prevailing rates charged to other parties by the supplier for similar services.

#### **14. Spare parts**

14.1 As specified in SCC, the supplier may be required to provide any or all of the following materials, notifications, and information pertaining to spare parts manufactured or distributed by the supplier:

- (a) such spare parts as the purchaser may elect to purchase from the supplier, provided that this election shall not relieve the supplier of any warranty obligations under the contract; and
- (b) in the event of termination of production of the spare parts:
  - (i) Advance notification to the purchaser of the pending termination, in sufficient time to permit the purchaser to procure needed requirements; and
  - (ii) following such termination, furnishing at no cost to the purchaser, the blueprints, drawings, and specifications of the spare parts, if requested.

#### **15. Warranty**

15.1 The supplier warrants that the goods supplied under the contract are new, unused, of the most recent or current models, and that they incorporate all recent improvements in design and materials unless provided otherwise in the contract. The supplier further warrants that all goods supplied under this contract shall have no defect, arising from design, materials, or workmanship (except when the design and/or material is required by the purchaser's specifications) or from any act or omission of the supplier, that may develop under normal use of the supplied goods in the conditions prevailing in the country of final destination.

15.2 This warranty shall remain valid for twelve (12) months after the goods, or any portion thereof as the case may be, have been delivered to and accepted at the final destination indicated in the contract, or for eighteen (18) months after the date of shipment from the port or place of loading in the source country, whichever period concludes earlier, unless specified otherwise in SCC.

15.3 The purchaser shall promptly notify the supplier in writing of any claims arising under this warranty.

15.4 Upon receipt of such notice, the supplier shall, within the period specified in SCC and with all reasonable speed, repair or replace the defective goods or parts thereof, without costs to the purchaser.

15.5 If the supplier, having been notified, fails to remedy the defect(s) within the period specified in SCC, the purchaser may proceed to take

such remedial action as may be necessary, at the supplier's risk and expense and without prejudice to any other rights which the purchaser may have against the supplier under the contract.

- 16. Payment**
- 16.1 The method and conditions of payment to be made to the supplier under this contract shall be specified in SCC.
- 16.2 The supplier shall furnish the purchaser with an invoice accompanied by a copy of the delivery note and upon fulfillment of other obligations stipulated in the contract.
- 16.3 Payments shall be made promptly by the purchaser, but in no case later than thirty (30) days after submission of an invoice or claim by the supplier.
- 16.4 Payment will be made in Rand unless otherwise stipulated in SCC.
- 17. Prices**
- 17.1 Prices charged by the supplier for goods delivered and services performed under the contract shall not vary from the prices quoted by the supplier in his bid, with the exception of any price adjustments authorized in SCC or in the purchaser's request for bid validity extension, as the case may be.
- 18. Contract amendments**
- 18.1 No variation in or modification of the terms of the contract shall be made except by written amendment signed by the parties concerned.
- 19. Assignment**
- 19.1 The supplier shall not assign, in whole or in part, its obligations to perform under the contract, except with the purchaser's prior written consent.
- 20. Subcontracts**
- 20.1 The supplier shall notify the purchaser in writing of all subcontracts awarded under this contracts if not already specified in the bid. Such notification, in the original bid or later, shall not relieve the supplier from any liability or obligation under the contract.
- 21. Delays in the supplier's performance**
- 21.1 Delivery of the goods and performance of services shall be made by the supplier in accordance with the time schedule prescribed by the purchaser in the contract.
- 21.2 If at any time during performance of the contract, the supplier or its subcontractor(s) should encounter conditions impeding timely delivery of the goods and performance of services, the supplier shall promptly notify the purchaser in writing of the fact of the delay, its likely duration and its cause(s). As soon as practicable after receipt of the supplier's notice, the purchaser shall evaluate the situation and may at his discretion extend the supplier's time for performance, with or without the imposition of penalties, in which case the extension shall be ratified by the parties by amendment of contract.
- 21.3 No provision in a contract shall be deemed to prohibit the obtaining of supplies or services from a national department, provincial department, or a local authority.
- 21.4 The right is reserved to procure outside of the contract small quantities or to have minor essential services executed if an emergency arises, the

supplier's point of supply is not situated at or near the place where the supplies are required, or the supplier's services are not readily available.

21.5 Except as provided under GCC Clause 25, a delay by the supplier in the performance of its delivery obligations shall render the supplier liable to the imposition of penalties, pursuant to GCC Clause 22, unless an extension of time is agreed upon pursuant to GCC Clause 21.2 without the application of penalties.

21.6 Upon any delay beyond the delivery period in the case of a supplies contract, the purchaser shall, without canceling the contract, be entitled to purchase supplies of a similar quality and up to the same quantity in substitution of the goods not supplied in conformity with the contract and to return any goods delivered later at the supplier's expense and risk, or to cancel the contract and buy such goods as may be required to complete the contract and without prejudice to his other rights, be entitled to claim damages from the supplier.

## **22. Penalties**

22.1 Subject to GCC Clause 25, if the supplier fails to deliver any or all of the goods or to perform the services within the period(s) specified in the contract, the purchaser shall, without prejudice to its other remedies under the contract, deduct from the contract price, as a penalty, a sum calculated on the delivered price of the delayed goods or unperformed services using the current prime interest rate calculated for each day of the delay until actual delivery or performance. The purchaser may also consider termination of the contract pursuant to GCC Clause 23.

## **23. Termination for default**

23.1 The purchaser, without prejudice to any other remedy for breach of contract, by written notice of default sent to the supplier, may terminate this contract in whole or in part:

- (a) if the supplier fails to deliver any or all of the goods within the period(s) specified in the contract, or within any extension thereof granted by the purchaser pursuant to GCC Clause 21.2;
- (b) if the Supplier fails to perform any other obligation(s) under the contract; or
- (c) if the supplier, in the judgment of the purchaser, has engaged in corrupt or fraudulent practices in competing for or in executing the contract.

23.2 In the event the purchaser terminates the contract in whole or in part, the purchaser may procure, upon such terms and in such manner as it deems appropriate, goods, works or services similar to those undelivered, and the supplier shall be liable to the purchaser for any excess costs for such similar goods, works or services. However, the supplier shall continue performance of the contract to the extent not terminated.

23.3 Where the purchaser terminates the contract in whole or in part, the purchaser may decide to impose a restriction penalty on the supplier by prohibiting such supplier from doing business with the public sector for a period not exceeding 10 years.

23.4 If a purchaser intends imposing a restriction on a supplier or any

person associated with the supplier, the supplier will be allowed a time period of not more than fourteen (14) days to provide reasons why the envisaged restriction should not be imposed. Should the supplier fail to respond within the stipulated fourteen (14) days the purchaser may regard the intended penalty as not objected against and may impose it on the supplier.

23.5 Any restriction imposed on any person by the Accounting Officer / Authority will, at the discretion of the Accounting Officer / Authority, also be applicable to any other enterprise or any partner, manager, director or other person who wholly or partly exercises or exercised or may exercise control over the enterprise of the first-mentioned person, and with which enterprise or person the first-mentioned person, is or was in the opinion of the Accounting Officer / Authority actively associated.

23.6 If a restriction is imposed, the purchaser must, within five (5) working days of such imposition, furnish the National Treasury, with the following information:

- (i) the name and address of the supplier and / or person restricted by the purchaser;
- (ii) the date of commencement of the restriction
- (iii) the period of restriction; and
- (iv) the reasons for the restriction.

These details will be loaded in the National Treasury's central database of suppliers or persons prohibited from doing business with the public sector.

23.7 If a court of law convicts a person of an offence as contemplated in sections 12 or 13 of the Prevention and Combating of Corrupt Activities Act, No. 12 of 2004, the court may also rule that such person's name be endorsed on the Register for Tender Defaulters. When a person's name has been endorsed on the Register, the person will be prohibited from doing business with the public sector for a period not less than five years and not more than 10 years. The National Treasury is empowered to determine the period of restriction and each case will be dealt with on its own merits. According to section 32 of the Act the Register must be open to the public. The Register can be perused on the National Treasury website.

#### **24. Anti-dumping and countervailing duties and rights**

24.1 When, after the date of bid, provisional payments are required, or anti-dumping or countervailing duties are imposed, or the amount of a provisional payment or anti-dumping or countervailing right is increased in respect of any dumped or subsidized import, the State is not liable for any amount so required or imposed, or for the amount of any such increase. When, after the said date, such a provisional payment is no longer required or any such anti-dumping or countervailing right is abolished, or where the amount of such provisional payment or any such right is reduced, any such favourable difference shall on demand be paid forthwith by the contractor to the State or the State may deduct such amounts from moneys (if any) which may otherwise be due to the contractor in regard to supplies or services which he delivered or rendered, or is to deliver or render in terms of the contract or any other contract or any other amount which

may be due to him

**25. Force Majeure**

- 25.1 Notwithstanding the provisions of GCC Clauses 22 and 23, the supplier shall not be liable for forfeiture of its performance security, damages, or termination for default if and to the extent that his delay in performance or other failure to perform his obligations under the contract is the result of an event of force majeure.
- 25.2 If a force majeure situation arises, the supplier shall promptly notify the purchaser in writing of such condition and the cause thereof. Unless otherwise directed by the purchaser in writing, the supplier shall continue to perform its obligations under the contract as far as is reasonably practical, and shall seek all reasonable alternative means for performance not prevented by the force majeure event.

**26. Termination for insolvency**

- 26.1 The purchaser may at any time terminate the contract by giving written notice to the supplier if the supplier becomes bankrupt or otherwise insolvent. In this event, termination will be without compensation to the supplier, provided that such termination will not prejudice or affect any right of action or remedy which has accrued or will accrue thereafter to the purchaser.

**27. Settlement of Disputes**

- 27.1 If any dispute or difference of any kind whatsoever arises between the purchaser and the supplier in connection with or arising out of the contract, the parties shall make every effort to resolve amicably such dispute or difference by mutual consultation.
- 27.2 If, after thirty (30) days, the parties have failed to resolve their dispute or difference by such mutual consultation, then either the purchaser or the supplier may give notice to the other party of his intention to commence with mediation. No mediation in respect of this matter may be commenced unless such notice is given to the other party.
- 27.3 Should it not be possible to settle a dispute by means of mediation, it may be settled in a South African court of law.
- 27.4 Mediation proceedings shall be conducted in accordance with the rules of procedure specified in the SCC.
- 27.5 Notwithstanding any reference to mediation and/or court proceedings herein,
- (a) the parties shall continue to perform their respective obligations under the contract unless they otherwise agree; and
  - (b) the purchaser shall pay the supplier any monies due the supplier.

**28. Limitation of liability**

- 28.1 Except in cases of criminal negligence or willful misconduct, and in the case of infringement pursuant to Clause 6;
- (a) the supplier shall not be liable to the purchaser, whether in contract, tort, or otherwise, for any indirect or consequential loss or damage, loss of use, loss of production, or loss of profits or interest costs, provided that this exclusion shall not apply to any obligation of the supplier to pay penalties and/or damages to the purchaser; and

- (b) the aggregate liability of the supplier to the purchaser, whether under the contract, in tort or otherwise, shall not exceed the total contract price, provided that this limitation shall not apply to the cost of repairing or replacing defective equipment.
- 29. Governing language** 29.1 The contract shall be written in English. All correspondence and other documents pertaining to the contract that is exchanged by the parties shall also be written in English.
- 30. Applicable law** 30.1 The contract shall be interpreted in accordance with South African laws, unless otherwise specified in SCC.
- 31. Notices** 31.1 Every written acceptance of a bid shall be posted to the supplier concerned by registered or certified mail and any other notice to him shall be posted by ordinary mail to the address furnished in his bid or to the address notified later by him in writing and such posting shall be deemed to be proper service of such notice
- 31.2 The time mentioned in the contract documents for performing any act after such aforesaid notice has been given, shall be reckoned from the date of posting of such notice.
- 32. Taxes and duties** 32.1 A foreign supplier shall be entirely responsible for all taxes, stamp duties, license fees, and other such levies imposed outside the purchaser's country.
- 32.2 A local supplier shall be entirely responsible for all taxes, duties, license fees, etc., incurred until delivery of the contracted goods to the purchaser.
- 32.3 No contract shall be concluded with any bidder whose tax matters are not in order. Prior to the award of a bid the Department must be in possession of a tax clearance certificate, submitted by the bidder. This certificate must be an original issued by the South African Revenue Services.
- 33. National Industrial Participation Programme (NIP)** 33.1 The NIP Programme administered by the Department of Trade and Industry shall be applicable to all contracts that are subject to the NIP obligation.
- 34 Prohibition of Restrictive practices** 34.1 In terms of section 4 (1) (b) (iii) of the Competition Act No. 89 of 1998, as amended, an agreement between, or concerted practice by, firms, or a decision by an association of firms, is prohibited if it is between parties in a horizontal relationship and if a bidder (s) is / are or a contractor(s) was / were involved in collusive bidding (or bid rigging).
- 34.2 If a bidder(s) or contractor(s), based on reasonable grounds or evidence obtained by the purchaser, has / have engaged in the restrictive practice referred to above, the purchaser may refer the matter to the Competition Commission for investigation and possible imposition of administrative penalties as contemplated in the Competition Act No. 89 of 1998.

- 34.3 If a bidder(s) or contractor(s), has / have been found guilty by the Competition Commission of the restrictive practice referred to above, the purchaser may, in addition and without prejudice to any other remedy provided for, invalidate the bid(s) for such item(s) offered, and / or terminate the contract in whole or part, and / or restrict the bidder(s) or contractor(s) from conducting business with the public sector for a period not exceeding ten (10) years and / or claim damages from the bidder(s) or contractor(s) concerned.

SWORN AFFIDAVIT – B-BEE EXEMPT MICRO ENTERPRISE  
AMENDED FINANCIAL SECTOR CODE

I, the undersigned,

Full name & Surname	
Identity number	

Hereby declare under oath as follows:

1. The contents of this statement are to the best of my knowledge a true reflection of the facts.
2. I am a \* Member/ Director/ Owner of the following enterprise and am duly authorised to act on its behalf:

Enterprise Name:	
Trading Name (If Applicable):	
Registration Number:	
VAT Number:	
Enterprise Physical Address:	
Type of Entity (CC, (Pty) Ltd, Sole Prop etc.):	
Nature of Business:	
Definition of “Black People”	<p>As per the Broad-Based Black Economic Empowerment Act 53 of 2003 as Amended by Act No 46 of 2013 “Black People” is a generic term which means Africans, Coloureds and Indians –</p> <ul style="list-style-type: none"> <li>(a) Who are citizens of the Republic of South Africa by birth or descent; or</li> <li>(b) Who became citizens of the Republic of South Africa by naturalization- <ul style="list-style-type: none"> <li>i. Before 27 April 1994; or</li> <li>ii. On or after 27 April 1994 and who would have been entitled to acquire citizenship by naturalization prior to that date</li> </ul> </li> </ul>
Definition of “Black Designated Groups”	<p>“Black Designated Groups means:</p> <ul style="list-style-type: none"> <li>(a) Unemployed black people not attending and not required by law to attend an educational institution and not waiting admission to an educational institution;</li> <li>(b) Black people who are youth as defined in the National Youth Commission Act of 1996;</li> <li>(c) Black people who are persons with disabilities as defined in the Code of Good Practice on employment of people with disabilities issued under the Employment Equity Act;</li> <li>(d) Black people living in rural and under developed areas;</li> <li>(e) Black military veterans who qualifies to be called a military veteran in terms of the Military Veterans Act 18 of 2011;”</li> </ul>

*\*Please indicate which is applicable*

3. I hereby declare under Oath that:

- The Enterprise is \_\_\_\_\_% Black Owned as per Series FS100 of the Amended Financial Sector Code issued under section 9 (1) of B-BBEE Act No 53 of 2003 as Amended by Act No 46 of 2013;
- The Enterprise is \_\_\_\_\_% Black Woman Owned as per Series FS100 of the Amended Financial Sector Code issued under section 9 (1) of B-BBEE Act No 53 of 2003 as Amended by Act No 46 of 2013;
- The Enterprise is \_\_\_\_\_% Black Designated Group Owned as per Series FS100 of the Amended Financial Sector Code issued under section 9 (1) of B-BBEE Act No 53 of 2003 as Amended by Act No 46 of 2013 % Ownership breakdown by each Black Designated Group, as defined above, is as follows:

Black Youth % = \_\_\_\_\_%

Black Disabled % = \_\_\_\_\_%

Black Unemployed % = \_\_\_\_\_%

Black People living in rural areas % = \_\_\_\_\_%

Black Military Veterans % = \_\_\_\_\_%

- The annual Total Revenue was R10,000,000.00 (Ten Million Rand) or less, based on **the** Financial Statements/ Management Accounts and other information available on the latest financial year-end of \_\_\_\_\_ (DD-MM-YYYY),
- Please Confirm on the below table the B-BBEE Level Contributor, by ticking the applicable box:

100% Black Owned	Level One (135% B-BBEE procurement recognition level)	
At Least 51% Black Owned	Level Two (125% B-BBEE procurement recognition level)	
Less than 51% Black Owned	Level Four (100% B-BBEE procurement recognition level)	

- 4. I know and understand the contents of this affidavit and I have no objection to take the prescribed oath and consider the oath binding on my conscience and on the Owners of the Enterprise which I represent in this matter.
- 5. The sworn affidavit will be valid for a period of 12 months from the date signed by commissioner.

Deponent Signature: \_\_\_\_\_

Date: \_\_\_\_\_

\_\_\_\_\_  
Commissioner of Oaths  
Signature & stamp

**SWORN AFFIDAVIT – B-BBEE QUALIFYING SMALL FINANCIAL INSTITUTION  
FINANCIAL SECTOR**

I, the undersigned,

<b>Full name &amp; Surname</b>	
<b>Identity number</b>	

Hereby declare under oath as follows:

1. The contents of this statement are to the best of my knowledge a true reflection of the facts.
2. I am a      Member /      Director /      Owner of the following enterprise and am duly authorised to act on its behalf:

<b>Enterprise Name:</b>	
<b>Trading Name (If Applicable):</b>	
<b>Registration Number:</b>	
<b>Enterprise Physical Address:</b>	
<b>Type of Entity (CC, (Pty) Ltd, Sole Prop etc.):</b>	
<b>Name of industry within the financial sector:</b>	
<b>Definition of “Black People”</b>	<p>As per the Broad-Based Black Economic Empowerment Act 53 of 2003 as Amended by Act No 46 of 2013 “Black People” is a generic term which means Africans, Coloureds and Indians –</p> <p>(a) who are citizens of the Republic of South Africa by birth or descent; or</p> <p>(b) who became citizens of the Republic of South Africa by naturalisation-</p> <p style="margin-left: 40px;">i. before 27 April 1994; or</p> <p style="margin-left: 40px;">ii. on or after 27 April 1994 and who would have been entitled to acquire citizenship by naturalization prior to that date;”</p>
<b>Definition of “Black Designated Groups”</b>	<p>“Black Designated Groups means:</p> <p>(a) unemployed black people not attending and not required by law to attend an educational institution and not awaiting admission to an educational institution;</p> <p>(b) Black people who are youth as defined in the National Youth Commission Act of 1996;</p> <p>(c) Black people who are persons with disabilities as defined in the Code of Good Practice on employment of people with disabilities issued under the Employment Equity Act;</p> <p>(d) Black people living in rural and under developed areas;</p> <p>(e) Black military veterans who qualifies to be called a military veteran in terms of the Military Veterans Act 18 of 2011;”</p>

3. I hereby declare under Oath that:

- The Enterprise is \_\_\_\_\_% Black Owned as per the Amended Financial Sector Codes of Good Practice issued under section 9 (1) of B-BBEE Act No 53 of 2003 as Amended by Act No 46 of 2013,
- The Enterprise is \_\_\_\_\_% Black Female Owned as per the Amended Financial Sector Codes of Good Practice issued under section 9 (1) of B-BBEE Act No 53 of 2003 as Amended by Act No 46 of 2013,
- The Enterprise is \_\_\_\_\_% Black Designated Group Owned as per the Amended Financial Sector Codes of Good Practice issued under section 9 (1) of B-BBEE Act No 53 of 2003 as Amended by Act No 46 of 2013,
- Black Designated Group Owned % Breakdown as per the definition stated above:
  - Black Youth % = \_\_\_\_\_%
  - Black Disabled % = \_\_\_\_\_%
  - Black Unemployed % = \_\_\_\_\_%
  - Black People living in Rural areas % = \_\_\_\_\_%
  - Black Military Veterans % = \_\_\_\_\_%
- Based on the Financial Statements/ Management Accounts and other information available on the latest financial year-end of \_\_\_\_\_, the annual Total Revenue was between R10,000,000.00 (Ten Million Rands) and R50,000,000.00 (Fifty Million Rands),
- Please confirm on the table below the B-BBEE level contributor, **by ticking the applicable box.**

100% Black Owned	<b>Level One</b> (135% B-BBEE procurement recognition level)	
50% Black Owned (Only for deals existing prior to the gazette) or at least 51% Black Owned	<b>Level Two</b> (125% B-BBEE procurement recognition level)	

4. I know and understand the contents of this affidavit and I have no objection to take the prescribed oath and consider the oath binding on my conscience and on the owners of the enterprise which I represent in this matter.
5. The sworn affidavit will be valid for a period of 12 months from the date signed by commissioner.

Deponent Signature: \_\_\_\_\_

Date: \_\_\_\_\_

\_\_\_\_\_  
Commissioner of Oaths  
Signature & stamp