



All correspondence to be addressed: The Chief Executive Officer

REQUEST FOR QUOTATION

RFQ NO: 32-2024 DESCRIPTION: APPOINTMENT OF A BIDDER FOR THE PROVISION OF THE COMPLIANCE MANAGEMENT COURSE FOR ONE SADPMR OFFICIAL.

Kindly furnish us with a written quotation as detailed in the enclosed schedule.

The quotation must be submitted on the letterhead of your business and submitted not later 25 April 2024 @ 11hH00 AM to email address: quotations@sadpmr.co.za

The following conditions will apply:

- 1) Price(s) quoted must be valid for thirty (30) from closing date of the tender.
- 2) Price(s) quoted must be firm and inclusive of VAT.
- 3) A firm delivery period must be indicated.
- 4) These quotations will be evaluated in terms of Preferential Procurement Regulations, 2022:
80 points for price
20 points for specific goals as follows:
 - Enterprises owned by black women. (51% shareholding)- 5 points
 - Entities that are small, medium, and micro enterprises.- 5 points
 - Entities owned by black people. (51% shareholding)- 5 points
 - Enterprises owned by youth. (51% shareholding)- 5 points
- 5) Only bidders registered on the central supplier database (CSD) and with a CSD number will be considered for this tender, as this is a requirement from the National Treasury.
- 6) BBBEE certificate / Sworn Affidavit BBBEE
- 7) Entities owned by people with disabilities
- 8) Copies of ID's / CIPC / latest downloaded CSD full registration report
- 9) Late responses will not be considered.

<p>ISSUED BY:</p> <p>THE CHIEF EXECUTIVE OFFICER SOUTH AFRICAN DIAMOND AND PRECIOUS METALS REGULATOR P.O. BOX 16001 DOORFONTEIN 2028</p> <p>Tel: (011) 223 7000 Fax: (011) 334 8898</p>	<p>CONTACT PERSON (SPECIFICATION) Ms. Cynthia Khadiamovha</p> <p>E-mail: cynthiak@sadpmr.co.za</p>	<p>CONTACT PERSON (ADMINISTRATION) Mr. Njabulo Mavuma</p> <p>E-mail: njabulom@sadpmr.co.za</p>
--	---	---

TABLE OF CONTENT

- 1. INVITATION OF BIDS (SBD 1)**
- 2. DECLARATION OF INTEREST (SBD 4)**
- 3. PREFERENCE POINTS CLAIM FORM (SBD 6.1)**
- 4. TERMS OF REFERENCE**

PART A**INVITATION TO BID**

YOU ARE HEREBY INVITED TO BID FOR REQUIREMENTS OF THE (SOUTH AFRICAN DIAMOND AND PRECIOUS METALS REGULATOR)			
BID NUMBER:	RFQ32-2024	CLOSING DATE & TIME:	25 April 2024 @11:00AM
DESCRIPTION	APPOINTMENT OF A BIDDER FOR THE PROVISION OF THE COMPLIANCE MANAGEMENT COURSE FOR ONE OFFICIAL.		
BID RESPONSE DOCUMENTS SHOULD BE EMAILED TO THE EMAIL ADDRESS PROVIDED BELOW			
Kindly furnish us with a written quotation as detailed in the enclosed schedule.			
The quotation must be submitted on the letterhead of your business and submitted not later than 25 April 2024@ 11h00 AM to email address: quotations@sadpmr.co.za			
BIDDING PROCEDURE ENQUIRIES MAY BE DIRECTED TO		TECHNICAL ENQUIRIES MAY BE DIRECTED TO:	
CONTACT PERSON	C Khadiamovha	CONTACT PEOPLE	N Mavuma
TELEPHONE NUMBER	(011) 223 7000	TELEPHONE NUMBER	(011) 223 7000
FACSIMILE NUMBER		FACSIMILE NUMBER	
E-MAIL ADDRESS	cynthiak@sadpmr.co.za	E-MAIL ADDRESS	njabulom@sadpmr.co.za
SUPPLIER INFORMATION			
NAME OF BIDDER			
POSTAL ADDRESS			
STREET ADDRESS			
TELEPHONE NUMBER	CODE		NUMBER
CELLPHONE NUMBER			

FACSIMILE NUMBER	CODE		NUMBER	
E-MAIL ADDRESS				
VAT REGISTRATION NUMBER				
SUPPLIER COMPLIANCE STATUS	TAX COMPLIANCE SYSTEM PIN:		OR CENTRAL SUPPLIER DATABASE No:	MAAA
B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE	TICK APPLICABLE BOX] <input type="checkbox"/> Yes <input type="checkbox"/> No		B-BBEE STATUS LEVEL SWORN AFFIDAVIT	[TICK APPLICABLE BOX] <input type="checkbox"/> Yes <input type="checkbox"/> No

[A B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE/ SWORN AFFIDAVIT (FOR EMES & QSEs) MUST BE SUBMITTED IN ORDER TO QUALIFY FOR PREFERENCE POINTS FOR B-BBEE]

ARE YOU THE ACCREDITED REPRESENTATIVE IN SOUTH AFRICA FOR THE GOODS /SERVICES /WORKS OFFERED?	<input type="checkbox"/> Yes <input type="checkbox"/> No [IF YES ENCLOSE PROOF]	ARE YOU A FOREIGN BASED SUPPLIER FOR THE GOODS /SERVICES /WORKS OFFERED?	<input type="checkbox"/> Yes <input type="checkbox"/> No [IF YES, ANSWER THE QUESTIONNAIRE BELOW]
---	--	--	---

QUESTIONNAIRE TO BIDDING FOREIGN SUPPLIERS

IS THE ENTITY A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)?
NO YES

DOES THE ENTITY HAVE A BRANCH IN THE RSA? YES NO

DOES THE ENTITY HAVE A PERMANENT ESTABLISHMENT IN THE RSA?
NO YES

DOES THE ENTITY HAVE ANY SOURCE OF INCOME IN THE RSA?
NO YES

IS THE ENTITY LIABLE IN THE RSA FOR ANY FORM OF TAXATION?
NO YES

IF THE ANSWER IS "NO" TO ALL OF THE ABOVE, THEN IT IS NOT A REQUIREMENT TO REGISTER

FOR A TAX COMPLIANCE STATUS SYSTEM PIN CODE FROM THE SOUTH AFRICAN REVENUE SERVICE (SARS) AND IF NOT REGISTER AS PER 2.3 BELOW.

PART B

1. BID SUBMISSION:

- 1.1. BIDS MUST BE DELIVERED BY THE STIPULATED TIME TO THE CORRECT ADDRESS. LATE BIDS WILL NOT BE ACCEPTED FOR CONSIDERATION.
- 1.2. **ALL BIDS MUST BE SUBMITTED ON THE OFFICIAL FORMS PROVIDED–(NOT TO BE RE- TYPED) OR IN THE MANNER PRESCRIBED IN THE BID DOCUMENT.**
- 1.3. THIS BID IS SUBJECT TO THE PREFERENTIAL PROCUREMENT POLICY FRAMEWORK ACT, 2000 AND THE PREFERENTIAL PROCUREMENT REGULATIONS, 2022, THE GENERAL CONDITIONS OF CONTRACT (GCC) AND, IF APPLICABLE, ANY OTHER SPECIAL CONDITIONS OF CONTRACT.
- 1.4. **THE SUCCESSFUL BIDDER WILL BE REQUIRED TO FILL IN AND SIGN A WRITTEN CONTRACT FORM (SBD7).**

2. TAX COMPLIANCE REQUIREMENTS

- 2.1 BIDDERS MUST ENSURE COMPLIANCE WITH THEIR TAX OBLIGATIONS.
- 2.2 BIDDERS ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN) ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VERIFY THE TAXPAYER’S PROFILE AND TAX STATUS.
- 2.3 APPLICATION FOR TAX COMPLIANCE STATUS (TCS) PIN MAY BE MADE VIA E-FILING THROUGH THE SARS WEBSITE WWW.SARS.GOV.ZA.
- 2.4 BIDDERS MAY ALSO SUBMIT A PRINTED TCS CERTIFICATE TOGETHER WITH THE BID.
- 2.5 IN BIDS WHERE CONSORTIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED; EACH PARTY MUST SUBMIT A SEPARATE TCS CERTIFICATE / PIN / CSD NUMBER.
- 2.6 WHERE NO TCS PIN IS AVAILABLE BUT THE BIDDER IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED.
- 2.7 NO BIDS WILL BE CONSIDERED FROM PERSONS IN THE SERVICE OF THE STATE, COMPANIES WITH DIRECTORS WHO ARE PERSONS IN THE SERVICE OF THE STATE, OR CLOSE CORPORATIONS WITH MEMBERS PERSONS IN THE SERVICE OF THE STATE.”

NB: FAILURE TO PROVIDE / OR COMPLY WITH ANY OF THE ABOVE PARTICULARS MAY RENDER THE BID INVALID.

SIGNATURE OF BIDDER:

CAPACITY UNDER WHICH THIS BID IS SIGNED:

(Proof of authority must be submitted e.g. company resolution)

DATE:

BIDDER'S DISCLOSURE

1. PURPOSE OF THE FORM

Any person (natural or juristic) may make an offer or offers in terms of this invitation to bid. In line with the principles of transparency, accountability, impartiality, and ethics as enshrined in the Constitution of the Republic of South Africa and further expressed in various pieces of legislation, it is required for the bidder to make this declaration in respect of the details required hereunder.

Where a person/s are listed in the Register for Tender Defaulters and / or the List of Restricted Suppliers, that person will automatically be disqualified from the bid process.

2. Bidder's declaration

2.1 Is the bidder, or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest¹ in the enterprise,

employed by the state?

YES/NO

2.1.1 If so, furnish particulars of the names, individual identity numbers, and, if applicable, state employee numbers of sole proprietor/ directors / trustees / shareholders / members/ partners or any person having a controlling interest in the enterprise, in table below.

Full Name	Identity Number	Name of State institution

¹ the power, by one person or a group of persons holding the majority of the equity of an enterprise, alternatively, the person/s having the deciding vote or power to influence or to direct the course and decisions of the enterprise.

2.2 Do you, or any person connected with the bidder, have a relationship with any person who is employed by the procuring institution? **YES/NO**

2.2.1 If so, furnish particulars:

.....
.....

2.3 Does the bidder or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest in the enterprise have any interest in any other related enterprise whether or not they are bidding for this contract? **YES/NO**

2.3.1 If so, furnish particulars:

.....
.....

3 DECLARATION

I, the undersigned, (name)..... in submitting the accompanying bid, do hereby make the following statements that I certify to be true and complete in every respect:

- 3.1 I have read and I understand the contents of this disclosure;
- 3.2 I understand that the accompanying bid will be disqualified if this disclosure is found not to be true and complete in every respect;
- 3.3 The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement or arrangement with any competitor. However, communication between partners in a joint venture or consortium² will not be construed as collusive bidding.
- 3.4 In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications, prices, including methods, factors or formulas used to calculate prices, market allocation, the intention or decision to

² Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.

submit or not to submit the bid, bidding with the intention not to win the bid and conditions or delivery particulars of the products or services to which this bid invitation relates.

- 3.4 The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.
- 3.5 There have been no consultations, communications, agreements or arrangements made by the bidder with any official of the procuring institution in relation to this procurement process prior to and during the bidding process except to provide clarification on the bid submitted where so required by the institution; and the bidder was not involved in the drafting of the specifications or terms of reference for this bid.
- 3.6 I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

I CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 1, 2 and 3 ABOVE IS CORRECT.

- I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME IN TERMS OF PARAGRAPH 6 OF PFMA SCM INSTRUCTION 03 OF 2021/22 ON PREVENTING AND COMBATING ABUSE IN THE SUPPLY CHAIN MANAGEMENT SYSTEM SHOULD THIS DECLARATION PROVE TO BE FALSE.

.....
Signature	Date
.....
Position	Name of bidder

PREFERENCE POINTS CLAIM FORM IN TERMS OF THE PREFERENTIAL PROCUREMENT REGULATIONS 2022

This preference form must form part of all tenders invited. It contains general information and serves as a claim form for preference points for specific goals.

NB: BEFORE COMPLETING THIS FORM, TENDERERS MUST STUDY THE GENERAL CONDITIONS, DEFINITIONS AND DIRECTIVES APPLICABLE IN RESPECT OF THE TENDER AND PREFERENTIAL PROCUREMENT REGULATIONS, 2022

1. GENERAL CONDITIONS

- 1.1 The following preference point systems are applicable to invitations to tender:
- the 80/20 system for requirements with a Rand value of up to R50 000 000 (all applicable taxes included); and
 - the 90/10 system for requirements with a Rand value above R50 000 000 (all applicable taxes included).

1.2 To be completed by the organ of state

The applicable preference point system for this tender is 80/20.

- 1.3 Points for this tender (even in the case of a tender for income-generating contracts) shall be awarded for:
- (a) Price; and
 - (b) Specific Goals.

1.4 To be completed by the organ of state:

The maximum points for this tender are allocated as follows:

	POINTS
PRICE	80
SPECIFIC GOALS	20
Total points for Price and SPECIFIC GOALS	100

- 1.5 Failure on the part of a tenderer to submit proof or documentation required in terms of this tender to claim points for specific goals with the tender, will be interpreted to mean that preference points for specific goals are not claimed.

- 1.6 The organ of state reserves the right to require of a tenderer, either before a tender is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the organ of state.

2. DEFINITIONS

- (a) **“tender”** means a written offer in the form determined by an organ of state in response to an invitation to provide goods or services through price quotations, competitive tendering process or any other method envisaged in legislation;
- (b) **“price”** means an amount of money tendered for goods or services, and includes all applicable taxes less all unconditional discounts;
- (c) **“rand value”** means the total estimated value of a contract in Rand, calculated at the time of bid invitation, and includes all applicable taxes;
- (d) **“tender for income-generating contracts”** means a written offer in the form determined by an organ of state in response to an invitation for the origination of income-generating contracts through any method envisaged in legislation that will result in a legal agreement between the organ of state and a third party that produces revenue for the organ of state, and includes, but is not limited to, leasing and disposal of assets and concession contracts, excluding direct sales and disposal of assets through public auctions; and
- (e) **“the Act”** means the Preferential Procurement Policy Framework Act, 2000 (Act No. 5 of 2000).

3. FORMULAE FOR PROCUREMENT OF GOODS AND SERVICES

3.1. POINTS AWARDED FOR PRICE

3.1.1 THE 80/20 OR 90/10 PREFERENCE POINT SYSTEMS

A maximum of 80 or 90 points is allocated for price on the following basis:

$$\begin{array}{ccc}
 \mathbf{80/20} & \mathbf{or} & \mathbf{90/10} \\
 \\
 \mathbf{Ps} = \mathbf{80} \left(1 - \frac{\mathbf{Pt} - \mathbf{Pmin}}{\mathbf{Pmin}} \right) & \mathbf{or} & \mathbf{Ps} = \mathbf{90} \left(1 - \frac{\mathbf{Pt} - \mathbf{Pmin}}{\mathbf{Pmin}} \right)
 \end{array}$$

Where

Ps = Points scored for price of tender under consideration

Pt = Price of tender under consideration

Pmin = Price of lowest acceptable tender

3.2. FORMULAE FOR GOOD AND SERVICES

3.2.1. POINTS AWARDED FOR PRICE

A maximum of 80 or 90 points is allocated for price on the following basis:

$$Ps = 80 \left(1 + \frac{Pt - Pmax}{Pmax}\right) \quad \text{or} \quad Ps = 90 \left(1 + \frac{Pt - Pmax}{Pmax}\right)$$

Where

Ps = Points scored for price of tender under consideration

Pt = Price of tender under consideration

Pmax = Price of highest acceptable tender

4. POINTS AWARDED FOR SPECIFIC GOALS

4.1. In terms of Regulation 4(2); 5(2); 6(2) and 7(2) of the Preferential Procurement Regulations, preference points must be awarded for specific goals stated in the tender. For the purposes of this tender the tenderer will be allocated points based on the goals stated in table 1 below as may be supported by proof/ documentation stated in the conditions of this tender:

4.2. In cases where organs of state intend to use Regulation 3(2) of the Regulations, which states that, if it is unclear whether the 80/20 or 90/10 preference point system applies, an organ of state must, in the tender documents, stipulate in the case of—

(a) an invitation for tender for income-generating contracts, that either the 80/20 or 90/10 preference point system will apply and that the highest acceptable tender will be used to determine the applicable preference point system; or

(b) any other invitation for tender, that either the 80/20 or 90/10 preference point system will apply and that the lowest acceptable tender will be used to determine the applicable preference point system,

then the organ of state must indicate the points allocated for specific goals for both the 90/10 and 80/20 preference point system.

Table 1: Specific goals for the tender and points claimed are indicated per the table below.

(Note to organs of state: Where either the 90/10 or 80/20 preference point system is applicable, corresponding points must also be indicated as such.

Note to tenderers: The tenderer must indicate how they claim points for each preference point system.)

The specific goals allocated points in terms of this tender	Number of points allocated (90/10 system) (To be completed by the organ of state)	Number of points allocated (80/20 system) (To be completed by the organ of state)	Number of points claimed (90/10 system) (To be completed by the tenderer)	Number of points claimed (80/20 system) (To be completed by the tenderer)
Enterprises owned by black women. (51% shareholding)		5		
Entities that are small, medium, and micro enterprises.		5		
Entities owned by black people. (51% shareholding)		5		
Enterprises owned by youth. (51% shareholding)		5		

NB: Please submit all supporting documents to substantiate the above, failure to submit will result in non-allocation of points.

DECLARATION WITH REGARD TO COMPANY/FIRM

4.3. Name of company/firm.....

4.4. Company registration number:

4.5. TYPE OF COMPANY/ FIRM

- Partnership/Joint Venture / Consortium
- One-person business/sole propriety
- Close corporation
- Public Company
- Personal Liability Company
- (Pty) Limited
- Non-Profit Company
- State Owned Company

[TICK APPLICABLE BOX]

4.6. I, the undersigned, who is duly authorised to do so on behalf of the company/firm, certify that the points claimed, based on the specific goals as advised in the tender, qualifies the company/ firm for the preference(s) shown and I acknowledge that:

- i) The information furnished is true and correct;
- ii) The preference points claimed are in accordance with the General Conditions as

indicated in paragraph 1 of this form;

- iii) In the event of a contract being awarded as a result of points claimed as shown in paragraphs 1.4 and 4.2, the contractor may be required to furnish documentary proof to the satisfaction of the organ of state that the claims are correct;
- iv) If the specific goals have been claimed or obtained on a fraudulent basis or any of the conditions of contract have not been fulfilled, the organ of state may, in addition to any other remedy it may have –
 - (a) disqualify the person from the tendering process;
 - (b) recover costs, losses or damages it has incurred or suffered as a result of that person's conduct;
 - (c) cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such cancellation;
 - (d) recommend that the tenderer or contractor, its shareholders and directors, or only the shareholders and directors who acted on a fraudulent basis, be restricted from obtaining business from any organ of state for a period not exceeding 10 years, after the *audi alteram partem* (hear the other side) rule has been applied; and
 - (e) forward the matter for criminal prosecution, if deemed necessary.

.....	
SIGNATURE(S) OF TENDERER(S)	
SURNAME AND NAME:
DATE:
ADDRESS:

SPECIFICATION FOR COMPLIANCE MANAGEMENT COURSE

NO.	GOODS OR SERVICES REQUIRED	QUANTITY REQUIRED
	<p>Description of required item (s)</p> <p>Course outline for Compliance Management</p> <p>Programme Contents</p> <p>The programme is designed to enhance the students' understanding of the following topics and to provide them with appropriate principles, standards and guidance on how to operate within these frameworks in multiple industries, including financial services, mining, manufacturing and parastatals:</p> <ol style="list-style-type: none"> 1. Corporate governance framework: <ol style="list-style-type: none"> a. The King IV Code on corporate governance will be discussed as the context, with specific focus on relevant chapters for the topics: (i) the governance of risk, (ii) compliance with laws, codes, rules and standards, (iii) internal audit and (iv) integrated reporting and disclosure. b. Reference will be made to similar frameworks internationally. 2. Enterprise-wide risk management (ERM) framework: <ol style="list-style-type: none"> a. The development of ERM and the implications will be discussed, as well as relevant principles and schools of thought on risk management. b. The interaction between compliance risk and other risk types will be discussed. 3. Regulatory framework: <ol style="list-style-type: none"> a. The development and philosophy of the national and international regulatory environment will be discussed. b. The mandate, authority and scope of different regulators in various industries will be covered. 4. Compliance risk management framework and process: <ol style="list-style-type: none"> a. An overview of the compliance framework, including compliance policy, charter, manual and programme. b. An overview of the compliance risk management process, including Identification, assessment, management and monitoring, will be discussed in detail. 	01

c. Case studies will focus on Generally Accepted Compliance Practice (GACP) and will demonstrate how to approach topics such as:

- i. Governance of compliance;
- ii. Responsibility of management with regard to compliance;
- iii. How to establish a compliance function;
- iv. Roles and responsibilities of the compliance function;
- v. Fit and proper requirements for compliance officers;
- vi. Independent reviews and how they should be conducted;
- vii. Materiality and how to deal with it in a compliance-risk context.

Generic skills for a compliance function will be discussed and topics to be covered include:

- ix. Understanding of project management principles;
- x. Report-writing skills;
- xi. Presentation skills and how to adapt for different audiences;
- xii. Management of change and organisational development.

5. Forward looking trends in compliance risk management will include discussions on:

- a. Environmental legislation and the impact on compliance risks;
- b. The reporting framework for sustainability matters and the implication for compliance officers.

Added Benefits: Upon registration you will receive:

- 1. Generally Accepted Compliance Practice (GACP) e-File. The Generally Accepted Compliance Practice © (GACP) framework consists of principles, standards and guidelines that act as a benchmark for compliance best practice that organisations and their Compliance Officers should apply.
- 2. 1 year membership to the Compliance Institute of Southern Africa.
- 3. Compliance Management File.

15 CPD Points which will be awarded by the Compliance Institute of Southern Africa.

- | | |
|--|--|
| <p>2. Corporate governance framework:</p> <ul style="list-style-type: none">a. The King IV Code on corporate governance will be discussed as the context, with specific focus on relevant chapters for the topics: (i) the governance of risk, (ii) compliance with laws, codes, rules and standards, (iii) internal audit and (iv) integrated reporting and disclosure.b. Reference will be made to similar frameworks internationally. <p>3. Enterprise-wide risk management (ERM) framework:</p> <ul style="list-style-type: none">a. The development of ERM and the implications will be discussed, as well as relevant principles and schools of thought on risk management.b. The interaction between compliance risk and other risk types will be discussed. <p>3. Regulatory framework:</p> <ul style="list-style-type: none">c. The development and philosophy of the national and international regulatory environment will be discussed.d. The mandate, authority and scope of different regulators in various industries will be covered. <p>4. Compliance risk management framework and process:</p> <ul style="list-style-type: none">d. An overview of the compliance framework, including compliance policy, charter, manual and programme.e. An overview of the compliance risk management process, including Identification, assessment, management and monitoring, will be discussed in detail.f. Case studies will focus on Generally Accepted Compliance Practice (GACP) and will demonstrate how to approach topics such as:<ul style="list-style-type: none">viii. Governance of compliance;ix. Responsibility of management with regard to compliance;x. How to establish a compliance function;xi. Roles and responsibilities of the compliance function;xii. Fit and proper requirements for compliance officers;xiii. Independent reviews and how they should be conducted;xiv. Materiality and how to deal with it in a compliance-risk context.xv. Generic skills for a compliance function will be discussed and topics to be covered include: | |
|--|--|

	<p>xiii. Understanding of project management principles; xiv. Report-writing skills; xv. Presentation skills and how to adapt for different audiences; xvi. Management of change and organisational development.</p> <p>6. Forward looking trends in compliance risk management will include discussions on:</p> <p style="padding-left: 40px;">a. Environmental legislation and the impact on compliance risks;</p> <p>b. The reporting framework for sustainability matters and the implication for compliance officers.</p> <p>Added Benefits: Upon registration you will receive:</p> <ol style="list-style-type: none"> 1. Generally Accepted Compliance Practice (GACP) e-File. The Generally Accepted Compliance Practice © (GACP) framework consists of principles, standards and guidelines that act as a benchmark for compliance best practice that organisations and their Compliance Officers should apply. 2. 1 year membership to the Compliance Institute of Southern Africa. 3. Compliance Management File. 4. 15 CPD Points which will be awarded by the Compliance Institute of Southern Africa. 	
--	--	--

1. OTHER CONDITIONS

- SADPMR reserves the right not to appoint any bidder.
- SADPMR reserves the right to award the contract to a Bidder whose bid was not the lowest in price.
- SADPMR reserves the right to award the bid to a Bidder who is not the highest scoring Bidder.