

# 4

## Supplier Quality Management

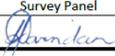
### QUALITY REQUIREMENTS- Category 3

#### Survey Panel

ENQUIRY NUMBER : \_\_\_\_\_

TENDERER NAME : \_\_\_\_\_

TENDER CLOSING DATE : \_\_\_\_\_

Eskom	<b>Supplier Quality Management: List of Tender Returnables Documents</b>	Unique Identifier	240-12248652
		Revision	7
		Effective Date	2022/01/26
		Specification	240-105658000
Category 3 : Quality Requirements		Deliverables to be evaluated indicator = 1	
<b>Section A Score Option 1</b>			
SECTION A : Quality Management System Requirements ISO 9001 Objective evidence of documented QMS that is not certified but complies with ISO 9001			
		Apply =1	
A.1 QMS Manual or a document that defines and describes the QMS and its scope		1	
A.2 Quality Policy Approved by top management.		1	
A.4 Control of documented information (i.e. document and record control) Clause 7.5 of ISO 9001:2015		1	
A.6 Documented information for Nonconformity and Corrective action Clause 10.2 of ISO 9001:2015		1	
A.7 Documented information for Internal audit Clause 9.2 of ISO 9001:2015		1	
<b>Section A Score Option 2</b>		<b>5</b>	
<b>SECTION B : Evidence of QMS in operation (Tender Quality Requirements -Ref 240-105658000)</b>			
		Apply =1	
B.1 Documented information for defined roles, responsibilities and authorities - Organization chart and Responsibility matrix (must include but not limited to quality management function/role) (Clause 5.3 of ISO 9001:2015)		1	
B.2 Documented information for Control of Externally Provided Processes, Products and Services - Must include criteria for evaluation, selection, monitoring of performance, and re-evaluation of external providers (Clause 8.4 of ISO 9001:2015)		1	
<b>Section B Score</b>		<b>2</b>	
<b>SECTION C: Contract Quality Plan Requirements (Ref 240-105658000 and 240-109253698).</b>			
Draft Contract Quality Plan specific to the scope of work as described in the tender documents (Ref ISO 10005)			
		Apply (Yes=1)	
NB! Draft Contract/Project Quality Plan has important QA deliverables		1	
<b>Section C Score</b>		<b>1</b>	
<b>SECTION D: Quality Control Plan Requirements (Ref 240-105658000 or 240-109253302)</b>			
QCP /Checklist/ ITP (Quality Control Plans) as per Scope of Works (Ref ISO 10005)			
		Apply = 1	
NB! Draft/ Example of an Inspection and Test Plan (ITP) or Quality Control Plan (OCP) on similar and/ or previous work done		1	
<b>Section D Score</b>		<b>1</b>	
<b>SECTION E: User defined additional Requirements &amp; miscellaneous (Ref 240-105658000)</b>			
Customer specific requirements & other standards and required can be listed and evaluated here			
		Apply (Yes=1)	
E.1 Form A is completed and signed.		1	
<b>Section E Score</b>		<b>1</b>	
<b>NAME OF ESKOM REPRESENTATIVE</b>		Denise Govindan	
<b>DATE ISSUED</b>		12/10/2023	
<b>PROJECT: TENDER TITLE</b>		Survey Panel	
<b>SIGNATURE</b>			

# A.1

QMS Manual or a document that defines and describes the QMS and its scope or Quality Method statement based on the scope

# **A.2**

## **Quality Policy Approved by Top Management**

# A.4

Control of documented  
information (i.e. document  
and record control)  
Clause 7.5 of ISO 9001:2015

**A.6**

**Documented  
information for  
Nonconformity and  
Corrective action  
Clause 10.2 of ISO  
9001:2015**

# **A.7**

**Documented information for  
Internal audit**

**Clause 9.2 of ISO 9001:2015**

# **B.1**

**B.1 Documented information  
for defined roles,  
responsibilities and authorities  
- Organization chart and  
Responsibility matrix (must  
include but not limited to  
quality management  
function/role)  
(Clause 5.3 of ISO 9001:2015)**

## **B.2**

Documented information for Control of Externally Provided Processes, Products and Services - Must include criteria for evaluation, selection, monitoring of performance, and re-evaluation of external providers

(Clause 8.4 of ISO 9001:2015)

# C1

## Contract Quality Plan Requirements Draft contract quality plan specific to the scope of work as described in the tender documents (Ref ISO 10005)

Draft Contract/Project Quality Plan has important QA deliverables

**Refer to attached template -**



240-109253698 CQP  
Template 2021.docx

# SECTION D:

Quality Control Plan  
Requirements - (QCP  
/Checklist/ ITP (Quality  
Control Plans) as per Scope of  
Works (Ref ISO 10005 & QM  
58)

Example of an Inspection and Test Plan (ITP) or Quality Control Plan (QCP) on similar and/ or previous work



done 240-109253302 \_  
Quality Control Plan c

# **SECTION E** : User defined additional Requirements & miscellaneous



CAT 3- Form A  
Tender & Contract Qu

	<b>(FORM A)</b> <b>TENDER &amp; CONTRACT QUALITY</b> <b>REQUIREMENTS FOR 240-105658000 AND</b> <b>QUALITY REQUIREMENTS FOR ISO 9001</b> <b>STANDARD</b>	<b>Document Identifier</b>	<b>240-68099512</b>	<b>Rev</b>	<b>9</b>
		<b>Effective Date</b>	<b>January 2022</b>		
		<b>Review Date</b>	<b>January 2027</b>		

SECTION A (TENDERER)	ENQUIRY/ CONTRACT No:					
	ENQUIRY/ CONTRACT DESCRIPTION	Survey contract for CEC				
SECTION B (ESKOM)	CLAUSES OF 240-105658000 SPECIFICATION	CLAUSE DESCRIPTION				INDICATE WITH AN (X) AS APPLICABLE OR (-) IF NOT APPLICABLE
	CLAUSE 3	PRE-CONTRACT AWARD QUALITY REQUIREMENTS				X
		(*Select Only Applicable Category). NB: Not more than one category must be selected. Also indicate whether site assessment is applicable.				
	CLAUSE 4	Category 1 (refer to clause 3.5.2 of 240-105658000)				-
		Category 2 (refer to clause 3.5.3 of 240-105658000)				-
		Category 3 (refer to clause 3.5.4 of 240-105658000)				X
		Category 4 (refer to clause 3.5.5 of 240-105658000)				-
		Main Supplier and Sub-supplier Capability and Capacity Assessment (refer to clause 3.6 of 240-105658000)				-
	CLAUSE 5	POST-CONTRACT AWARD				
		Contract Execution (refer to clause 3.7.1 of 240-105658000)				X
		Supplier Quality Performance Monitoring Phase (refer to clause 3.7.2 of 240-105658000)				X
	CLAUSE 6	STANDARD CONDITIONS				
		Eskom Rights of Access (refer to clause 3.8.1 of 240-105658000)				X
		Eskom Rights to Information (refer to clause 3.8.2 of 240-105658000)				X
Preservation (refer to clause 3.8.3 of 240-105658000)				X		
Quality Audits Related Conditions (refer to clause 3.8.4 of 240-105658000)				X		
Management of Nonconformities and Nonconforming Outputs Identified by Eskom (refer to clause 3.8.5 of 240-105658000)				X		
		SPECIAL PROCESSES (REFER TO CLAUSE 3.8.6 OF 240-105658000)				X
CLAUSES OF ISO 9001 STANDARD	ISO 9001 STANDARD CLAUSE DESCRIPTION	INDICATE WITH AN (X) AS APPLICABLE OR (-) IF NOT APPLICABLE	QUALITY PRINCIPLES	ISO 9001 STANDARD PRINCIPLE DESCRIPTION	INDICATE WITH AN (X) AS APPLICABLE OR (-) IF NOT APPLICABLE	
SECTION C (ESKOM)	CLAUSE 4	Context of the Organisation	X	PRINCIPLE 1	Customer focus	X
	CLAUSE 5	Leadership	X	PRINCIPLE 2	Leadership	X
	CLAUSE 6	Planning	X	PRINCIPLE 3	Engagement of people	X
	CLAUSE 7	Support	X	PRINCIPLE 4	Process approach	X
	CLAUSE 8	Operation	X	PRINCIPLE 5	Improvement	X
	CLAUSE 9	Performance Evaluations	X	PRINCIPLE 6	Evidence based decision making	X
	CLAUSE 10	Improvement	X	PRINCIPLE 7	Relationship Management	X
SECTION D (ESKOM)	ESKOM'S QUALITY REPRESENTATIVE	NAME	DESIGNATION	DATE	SIGNATURE	
		Denise Govindan	Senior Advisor :BIPM	12/10/2023		
SECTION E (TENDERER)	TENDERER'S QUALITY REPRESENTATIVE	NAME	DESIGNATION	DATE	SIGNATURE	

**Public**

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 Template ID: 240-43921804 (Rev 7) Header and Footer portrait template

